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SACLANT ASW RESEARCH CENTRE, MEMORANDUM

A COMPARISON OF SOME SIGNAL-PROCESSING ALGORITHMS
TO SUPPRESS TOW-VESSEL NOISE IN A TOWED ARRAY, WITH RESULTS
FROM A SHALLOW-WATER TRIAL

bу

Ulrich E. RUPE and Even B. LUNDE

1 OCTOBER 1982

NORTH
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FROM A SHALLOW-WATER TRIAL

by

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A COMPARISON OF SOME SIGNAL-PROCESSING ALGORITHMS
TO SUPPRESS TOW-VESSEL NOISE IN A TOWED ARRAY, WITH RESULTS FROM A
SHALLOW-WATER SEA TRIAL

by Ulrich E. Rupe and Even B. Lunde

ABSTRACT

A short trial has been conducted in shallow water using a towed array and a number of signal-processing techniques. Results are presented on the outputs from conventional beamforming and maximum-likelihood beamforming and on some basic techniques for suppressing towship noise. The signal-processing package is implemented in software and provides the various forms of processing by using the array covariance matrix and eigenvalue/vector analysis. Possible future work on these topics is proposed.

INTRODUCTION

The self-noise of the platform can enter a hull-mounted sonar array from different directions and in various frequency bands, thereby presenting a number of problems in the reception of signals during the initial processes of target detection, classification, and tracking when signal levels are low. The advent of the towed array has minimized these problems considerably and, in essence, reduced them to simply one of a noise source ahead of the array.

However, depending on the characteristics of the towed-array system (the scope of the tow cable, the beamwidth of the array, etc.) and the environmental conditions in the area of operation (depth of water, type of sea bottom, etc.), the towship noise can appear in a number of beams in the ahead $\pm 90^{\circ}$ sector and cause serious operational limitations.

This memorandum compares a number of signal-processing techniques by describing results obtained from a sea-trial in shallow water using a towed-array system.

The general headings are:

- a. The presence of a number of signal paths from the towship to the array.
- b. The effect of the basic techniques for suppressing towship noise.
- Target indication and bearing estimation.

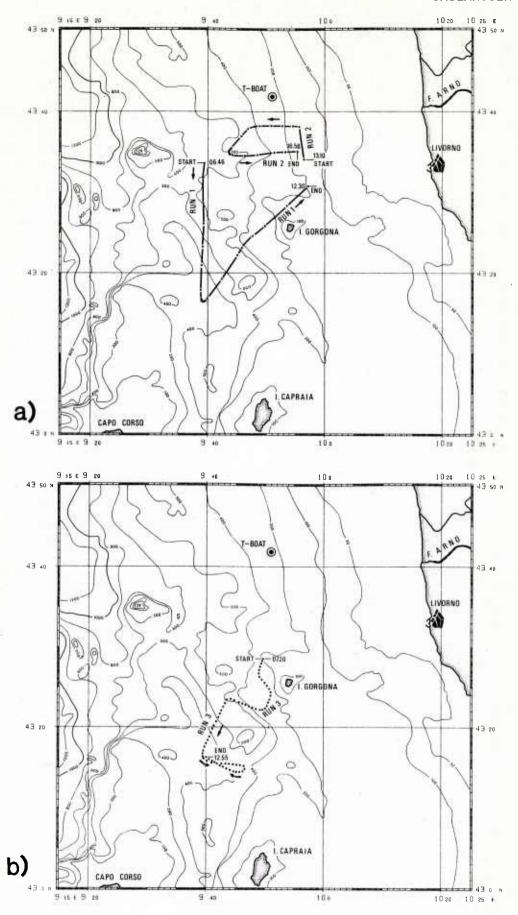


FIG. 1 SEA TRIALS

a) Runs 1 and 2

b) Run 3

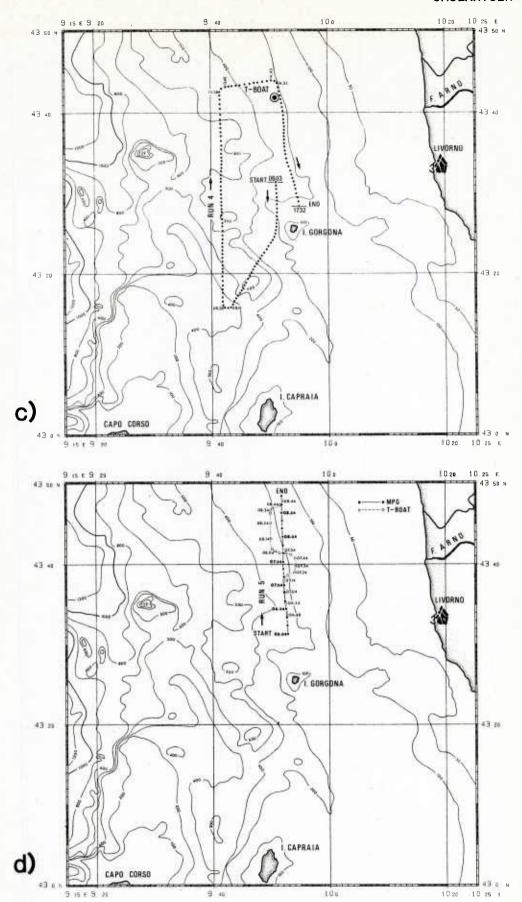


FIG. 1 SEA TRIALS

- c) Run 4
- d) Run 5

The conclusions and recommendations outline the proposals and arrangements for further studies and sea-trials with the aim of reducing the operational limitations caused by towship noise and improving the estimation of target bearing.

1 METHOD

1.1 Sea Trials

Sea-trials were conducted in the area off the northwestern Italian coast indicated on Fig. 1. Some parts of the area have a constant water depth of 150 m; in other parts the depth increases from 150 m to as much as 500 m. Bottom data are assumed to be the same as those measured by SACLANTCEN in an area a few kilometres further south (Area D1 of <1>). A $3\frac{1}{2}$ m core (Core 127) in that area was mainly of silt with some shells, overlain in the upper 50 cm by sand and then by clay. The relative sound speed was 1.025 and the relative density was about 1.8. No data on bottom-reflection losses are available. For the theoretical predictions of transmission loss in the experimental area, sound-speed data have been taken from <2>.

During the trials the sea-state was SS-0 most of the time and never higher than SS-1. The towed array was deployed from SACLANTCEN's research vessel MARIA PAOLINA G. (MPG) as shown in Fig. 2 and most of the sea-trials were conducted at a speed of 5 kn. SACLANTCEN's workboat MANNING transmitted 334 Hz signals from a source suspended at depths of either 50 or 100 m. These signals were used primarily as a CW source with different source levels.

Figure 1 shows the relative positions of the MARIA PAOLINA G. and MANNING for the five runs constituting the trials. In Runs 1 to 4 (Figs. 1a, b, c) the MANNING was at anchor whilst transmitting; during Run 5 (Fig. 1d) it was underway. The various runs placed the MANNING on all possible bearings and at ranges from 1 km to 30 km. Figure 3 gives the sound-speed profiles recorded during the sea-trial. They show that a shallow sound channel usually existed at about $100\ m$.

1.2 Towed-Array System

SACLANTCEN's Prakla-Seismic towed array was used, with a maximum tow-cable scope of 700 m. The hydrophone spacing employed was 1.96 m, corresponding to $\lambda/2$ at approximately 385 Hz.

An additional hydrophone, referred to as the reference hydrophone, was used to investigate one of the basic methods of suppressing towship noise. This could be clamped onto the tow-cable at distances of up to 200 m behind the towship (Fig. 2). No streamlined body was available for this additional hydrophone during this sea trial and heavy flow noise was expected to degrade the correlation with the array signals. The signal from the reference hydrophone was brought in-board for use in the signal-processing techniques (see Ch. 3).

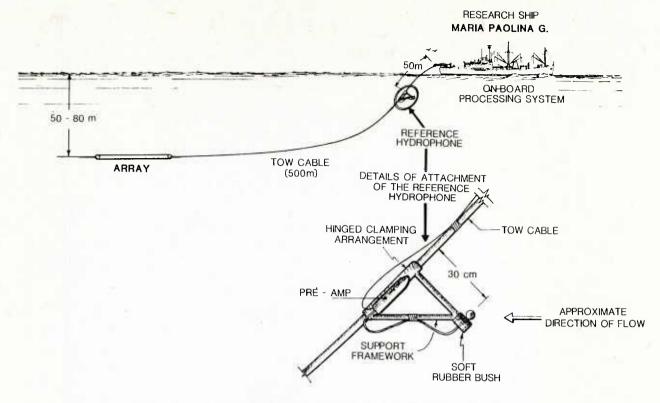


FIG. 2 DEPLOYMENT OF TOWED ARRAY DURING TRIALS

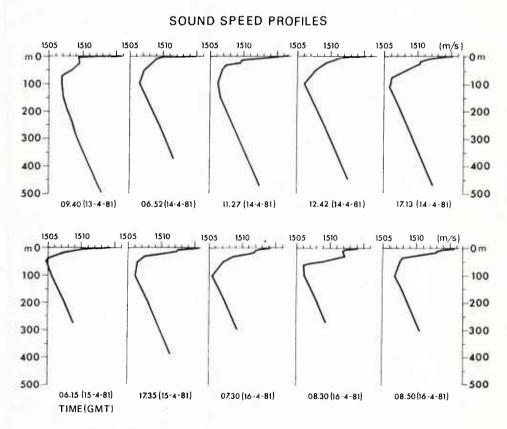


FIG. 3 SOUND-SPEED PROFILES DURING TRIALS

1.3 The On-Board Processing and Analysis System

A block diagram of the on-board processing and analysis system used in the sea-trials is shown in Fig. 4. It consisted of two main parts: the online monitoring system and the off-line processing system, as described in more detail in the following chapters.

2 ON-LINE DATA MONITORING

The on-line data monitoring system on board the MPG provided a display of either the output of a conventional beamforming system (CBF) or of the maximum-likelihood method (MLM) of beamforming for a single selected frequency. The display, which used the standard computer line-printer in a novel manner, gave an immediate visual indication of the power in the CBF or MLM beams. The component parts of the system are described below.

2.1 Signal Conditioning Unit

The lowest cutoff frequency of the available anti-aliasing lowpass filter bank was 1 kHz. As the frequency range of interest was below 350 Hz, a sampling frequency of \geq 2.9 kHz was needed to have an aliasing level of \leq -40 dB. For reasons given below, the chosen sampling frequency of the A/D converter was 12.288 kHz.

2.2 <u>Digital Lowpass Filter Bank</u>

The Plessey beamformer was used as a lowpass filter bank in order to reduce the sampling frequency by implementing 48-point linear-phase finite-impulse-response (FIR) filters with 3.072 kHz (= 12.288/4 kHz) input sampling frequency, and 819.2 Hz (= 12.288/15 kHz) output sampling frequency. The beamformer was also used to delay the data from the reference hydrophone.

2.3 On-Line Processing and Monitoring

A MAP array processor and an HP minicomputer were used for on-line processing and monitoring. The first operation was a 1024-point discrete fourier transform (DFT), implemented by a fast fourier transform (FFT), which gave a resolution about 0.8 Hz (= 819.2/1024 Hz), and a data window of 1.25 s length. The data collection and FFT processing had to be done in sequence, thereby giving an "acquisition" time of approximately 2.4 s. In other words, a time window of 1.25 s was repeated each 2.4 s, yielding a time gap of about 1.15 s. The DFT outputs for ten selected frequencies were written on magnetic tape for use by the off-line signal-processing system.

Flexibility in the choice of the parameters for the calculation of the covariance matrix was obtained by the scheme shown in Table 1. The covariance matrices are calculated for a selected number of frequencies

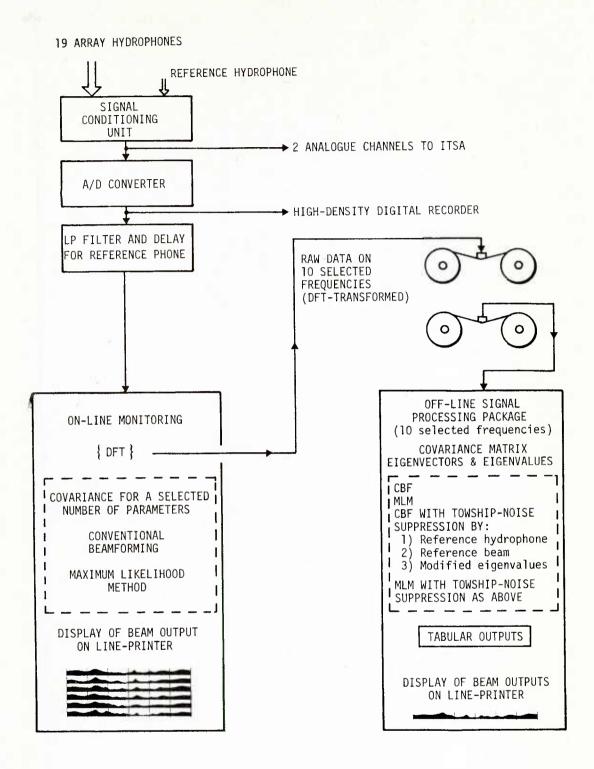
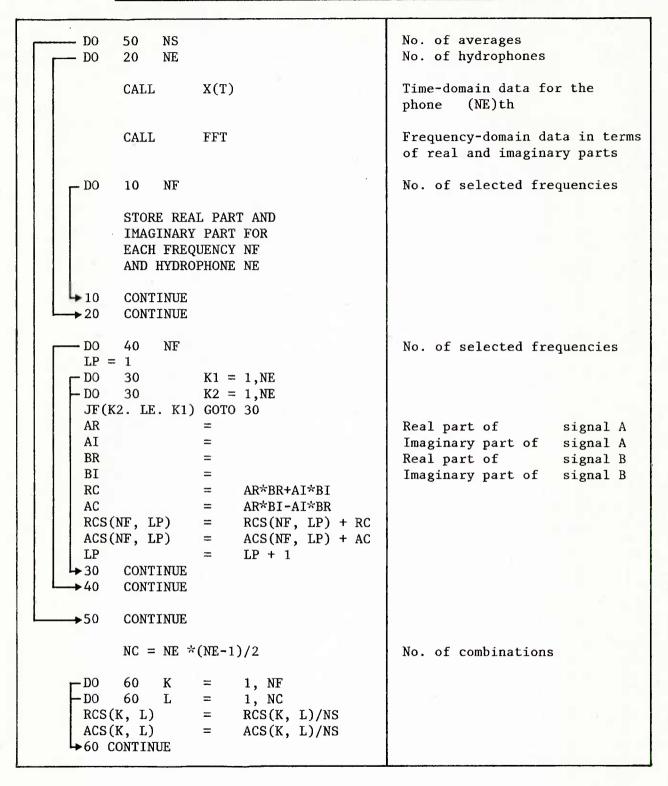


FIG. 4 ON-BOARD PROCESSING AND ANALYSIS SYSTEM

TABLE 1

CALCULATION OF THE NARROW-BAND SPATIAL COVARIANCE MATRIX



from filtered and fourier-transformed time-domain data of each hydrophone channel. The required average time is obtained by repeating this operation.

Thus for one selected frequency out of the ten, the output vector:

$$X_{S} = \begin{bmatrix} X_{1,S} & X_{2,S} & \dots & X_{N,S} \end{bmatrix}^{T}$$

was used to build up an estimate of the covariance matrix:

$$R_{j} = \frac{1}{M} \sum_{i=1}^{M} X(Mj+i) \cdot X^{*}(Mj+i) , \qquad (Eq. 1)$$

where N is the number of hydrophones used to build up the output vector \mathbf{X}_S for the time index S and j is the number of matrices calculated.

The matrix R_j was used to produce the mean power outputs for 181 beams, one for every degree, from one endfire to the other. These outputs were converted to decibel levels and then displayed on a line printer. An example for conventional beamforming is shown in Fig. 5. The bearing is marked above the top-line for a narrow-band processing of 334 Hz. All other outputs were produced with the off-line processing system. Dependent on the number of acquired time-series, such an output was produced for monitoring purpose at intervals of 1 to 2 minutes. The beamforming was either conventional:

$$P_{k,j} = B_k^* R_j B_k$$
 $k = 1, 2, ... 181$ (Eq. 2)

or "maximum-likelihood":

$$P_{k,j} = \left(B_k^* R_j^{-1} B_k\right)^{-1}$$
 $k = 1, 2, ... 181$, (Eq. 3)

where B_k is the steering vector determining the beam direction:

$$B_{k} = \left[\dots, \exp \left(-j2\pi \frac{x_{n}}{\lambda} \cos \beta_{k} \right), \dots \right]^{T} , \qquad (Eq. 4)$$

in which \boldsymbol{x}_n is the coordinate of hydrophone n and $\boldsymbol{\beta}_k$ is beam direction.

Every time the covariance matrix were calculated, the "acquisition" time was increased by the order of 2 to 3 seconds. Depending on the number of acquisitions, the beam outputs were calculated and printed from the averaged matrix. The minimum number of averages had to equal the number of hydrophones.

CONVENTIONAL BEAM-FORMING

FREQUENCY : 334.40

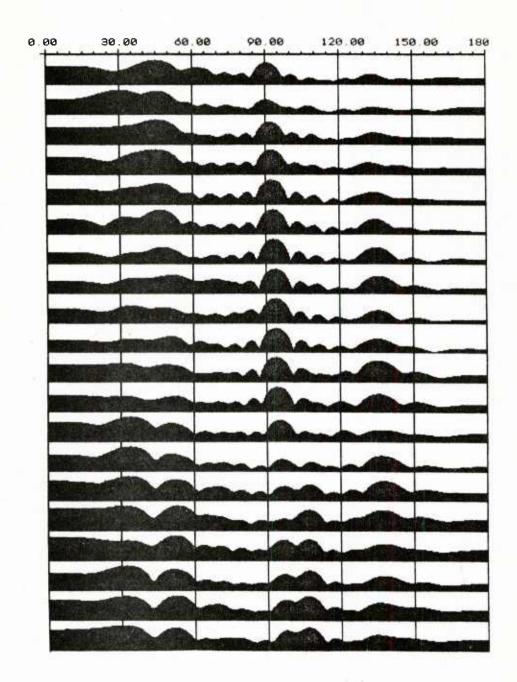


FIG. 5 EXAMPLE OF LINE-PRINTER OUTPUT FOR CONVENTIONAL BEAMFORMING

3 OFF-LINE PROCESSING AND ANALYSIS SYSTEM

The off-line processing and analysis system used tapes of discrete fourier transform (DFT) data produced by the on-line system for ten preselected frequencies and gave, as outputs, the line-printer display mentioned above for the on-line monitoring system and tabulated data relating to the various parameters of interest. The off-line analysis provided the above outputs for the following signal-processing techniques:

- a. Conventional beamforming with no shading (CBF).
- b. Maximum-likelihood method of beamforming (MLB).
- c. CBF and MLB with basic techniques for suppressing towship noise by:
 - 1) Use of a reference hydrophone.
 - 2) Use of a reference beam.
 - Use of modified eigenvalues.

3.1 General

The off-line processing system consisted of an HP minicomputer with vector instruction set (VIS) option <3>, a magnetic tape station, a line printer, and a terminal. The input data from magnetic tape were from 19 array hydrophones and one reference hydrophone, quadrature bandpass-filtered around ten selected frequencies and with a bandwidth of 0.8 Hz. These data were then processed by a signal-processing program, and the output written on disc. A program produced plots of these data on the line printer in the same format as the on-line monitoring program (Fig. 5).

In addition a tabular output was produced, as shown in Fig. 6, for each line-printer output. This tabular output shows a whole range of data; for example, columns 4, 13 and 22 give the beam numbers from 1 to 180, columns 5, 14 and 23 give the beam angles for the frequency in use, and the columns under CON and MLM give the values of $P_{k,j}$ (Eqs. 2 and 3) for the conventional and "maximum-likelihood" beam processing.

The first step in the processing program is to create the following quantities:

$$R_{j,q} = \frac{1}{M} \sum_{j=1}^{M} X(Mj+i,q) \cdot X^*(Mj+i,q)$$
 (Eq. 5)

$$Q_{j,q} = \frac{1}{M} \sum_{i=1}^{M} X(Mj+i,q) \cdot y^*(Mj+i,q)$$
 (Eq. 6)

$$r_{j,q} = \frac{1}{M} \sum_{i=1}^{M} y(Mj+i,q) \cdot y^*(Mj+i,q)$$
 (Eq. 7)

FIG. 6 TABULAR OUTPUT CORRESPONDING TO LINE-PRINTER OUTPUT

where

X : Data vector from array hydrophonesy : Data scalar from reference hydrophone

R : Covariance matrix
Q : "Reference vector"
r : "Reference scalar"
q : Frequency index

i : Acquisition time indexj : Time index after averagingM : Number of acquisitions

The indices are omitted hereafter.

The next step was to obtain the eigenvalues and eigenvectors of R. As shown in App. A, R can be written

$$R = \sum_{n=1}^{N} \lambda_n E_n E_n^*$$
, (Eq. 8)

where λ_n are eigenvalues

E_n are eigenvectors

n = 1, 2 N

and N is the number of the array hydrophones

There were three reasons for introducing the "eigen-quantities". Firstly, these quantities might be of interest on their own. Several signal-processing methods are based on explicit knowledge of these quantities. Only one was implemented in this version of the program, the so-called modified eigenvalue method.

Secondly, by calculating these eigen-quantities, one might gain some feeling for their behaviour under more or less complex sound-field conditions.

Thirdly, as shown in App. A and B, the ten signal-processing modes implemented for this sea trial could all be formulated and calculated in terms of the eigen-quantities. The signal processing in the off-line program was therefore based on the use of the eigen-quantities, whereas the on-line program used a matrix inversion for the maximum-likelihood technique. A check on the two programs could thus be made by comparing the MLM columns of the outputs illustrated in Fig. 6. The following sections describe these methods both with and without the use of eigenvalues and eigenvectors.

3.2 Conventional Beamforming (CB)

The mean power output from an unweighted (unshaded) conventional beam can be written as (Sect. B.1 of App. B):

$$P = B R B$$
.

Introducing eigenvalues and eigenvectors:

$$P = \sum_{n=1}^{N} \lambda_n |B^*E_n|^2 . \qquad (Eq. 9)$$

3.3 "Maximum-Likelihood" Beamforming (MLB)

The mean power output can in this case be written as (Sect. B.2 of App. B):

$$P = (B*R^{-1}B)^{-1}$$
, (Eq. 10)

and with eigenvalues and eigenvectors:

$$P = \begin{pmatrix} N & \lambda_n^{-1} & |B^*E_n|^2 \end{pmatrix}^{-1} .$$
 (Eq. 11)

3.4 <u>Conventional beamforming plus modified eigenvalue noise suppression</u> (CB + ME)

The eigenvalues of R were modified according to some rules. One such rule was to make eigenvalue λ_i equal to the smallest eigenvalue if the corresponding eigenvalue E_i correlated well with the steering vector representing the direction of the towship. Then:

$$P = \sum_{n=1}^{N} \lambda_n' |B^*E_n|^2$$
 (Eq. 12)

3.5 Maximum likelihood plus modified eigenvalue (ML + ME)

This is a combination of ML beamforming with modified eigenvalues

$$P = \begin{pmatrix} N \\ \Sigma \\ n=1 \end{pmatrix} A^{r-1} \left| B^* E_n \right|^2 - 1$$
 (Eq. 13)

3.6 Conventional beamforming plus reference beam noise suppression (CB+RB)

A reference beam direction with steering vector \mathbf{B}_{r} is chosen according to some rule and this is used to calculate (Sect. B.3 of App. B):

$$P = (B*RB) \left[1 - \left| B*RB_r \right|^2 (B*RB \cdot B_r^* R B_r)^{-1} \right].$$
 (Eq. 14)

And with eigen-quantities:

$$B^* R B = \sum_{n=1}^{N} \lambda_n |B^*E_n|^2$$
 , (Eq. 15)

$$B_r^* R B_r = \sum_{n=1}^{N} \lambda_n |B_r^* E_n|^2$$
, (Eq. 16)

$$B^* R B_r = \sum_{n=1}^{N} \lambda_n (B^*E) (B_r^* E_n)^*$$
 (Eq. 17)

3.7 "Maximum likelihood" plus reference beam noise suppression (ML + RB)

Also, from Sect. B.3 of App. B, we have:

$$P = (B^*R^{-1}B)^{-1} \left[1 - \left| B^*R^{-1}B_r \right|^2 (B^*R^{-1}B \cdot B_r^*R^{-1}B_r)^{-1} \right] . \quad (Eq. 18)$$

And with eigen-quantities:

$$B*R^{-1}B = \sum_{n=1}^{N} \lambda_n^{-1} |B*E_n|^2$$
, (Eq. 19)

$$B_r^* R^{-1} B_r = \sum_{n=1}^N \lambda_n^{-1} |B_r^* E_n|^2$$
, (Eq. 20)

$$B^*R B_r = \sum_{n=1}^{\infty} \lambda_n^{-1} (B^*E_n) (B_r^*E_n)^*$$
 (Eq. 21)

3.8 <u>Conventional beamforming plus reference hydrophone noise suppression</u> (CB + RH)

The mean output power in this mode is (Sect. B.4 of App. B):

$$P = B*R B \cdot \left[1 - |B*Q|^2 (B*R B \cdot r)^{-1}\right]$$
 (Eq. 22)

With eigenvector and eigenvalues, this was implemented using:

$$B*R B = \sum_{n=1}^{N} \lambda_n |B*E_n|^2$$
, (Eq. 23)

$$B^* Q = \sum_{n=1}^{N} (B^* E_n) (Q^* E_n)^* \qquad (Eq. 24)$$

3.9 "Maximum Likelihood" plus reference-hydrophone noise suppression (ML + RH)

With reference to Sect. B.4 of App. B:

$$P = (B*R^{-1}B)^{-1} \left[1 + \left| B*R^{-1}Q \right|^{2} \left\{ B*R^{-1}B(r - Q*R^{-1}Q) \right\}^{-1} \right]$$
 (Eq. 25)

With eigen-quantities

$$B*R^{-1}B = \sum_{n=1}^{N} \lambda_{n}^{-1} |B*E_{n}|^{2} , \qquad (Eq. 26)$$

$$B*R^{-1}Q = \sum_{n=1}^{N} \lambda_n^{-1} (B*E_n) (Q*E_n)^* , \qquad (Eq. 27)$$

$$Q^*R^{-1}Q = \sum_{n=1}^{N} \lambda_n^{-1} |Q^*E_n|^2 \qquad (Eq. 28)$$

4 RESULTS

This chapter presents and discusses sample data from all runs except Run 2, which are similar to those from Runs 1, 3 and 4. Some data are presented from a previous sea-trial to show the interference effects in the signal paths from a remotely deployed transducer to an array.

4.1 Signal path from towship to array in shallow-water

The geometry of the trials is shown on the left of Table 2. Typical geometry with an operational towed array is, however, more likely to be as shown on the right of the table.

TABLE 2
GEOMETRY OF TRIALS AND TYPICAL GEOMETRY

	<u>Trials</u>	<u>Typical</u>
Source depth	3 m	2 to 5 m
Tow-cable scope	200 to 700 m	500 to 2000 m
Array length	40 m	50 to 200 m
Array depth	50 to 100 m	-
Water depth	100 to 500 m	-

Together with the type of sea-bottom, the sea-state, and the sound-speed profile, the geometry defines the towship's noise field at an array towed in shallow water. It will thus be appreciated that the noise field at the towed array can be very complex and difficult to predict. Thus care must be taken in using any of the various available techniques.

Ray-tracing and normal-mode propagation are derived theoretically in App. D, indicating that ray-tracing cannot describe accurate low-frequency propagation in shallow water. For ray-tracing there is a general rule of thumb that the water depth should be greater than 10λ . Table 3 indicates some safe limits for the use of ray tracing.

TABLE 3

MINIMUM WATER DEPTH (10λ) FOR SAFE USE OF RAY TRACING

Frequency (Hz)	Minimum depth (m)
50	300
100	150
250	60
500	30

Thus, for example, ray tracing for frequencies of 100 Hz should not be used in water shallower than 150 m; equally, in a water depth of 150 m ray tracing should not be used for frequencies below 100 Hz.

In the case of the normal-mode solution there is a difficulty at short range because of the incomplete description of the acoustical field in terms of the normal-mode solution, which is valid for farfield only. It is usual to discard the short-range continuous field. Thus there is a minimum range below which the discrete modes alone do not sufficiently describe the field. This minimum range is usually taken as 3 to 5 water depths. Thus in 150 m water depth, ray tracing would be satisfactory at frequencies above 100 Hz out to ranges of between 450 to 750 m. Beyond this range the normal-mode solution should be used, particularly for shallow water. As, in fact, different modes travel with different velocities and arrive

at different angles, a mismatch of the array to the acoustical field might become a serious problem for signal processing at low frequencies. This is evidently true for the endfire direction of a horizontal array <5>. A short explanation of the need to distinguish between rays and modes for array processing at low frequencies in shallow water can be given readily by use of Eq. 4, this being the steering vector determining the beam direction. The factor $2\pi x_{\rm p}/\lambda$ in that equation, which is essentially the

array aperture size in wave length, can be rewritten as $\omega x_n/c$, where c is the phase velocity at the receiving hydrophone and is a constant value at one receiver for all the rays impinging on this receiver. However for all modes exhibiting their individual phase velocities, this conventional array processing will be matched to one velocity only, hence with increasing dispersion the array will become increasingly mismatched.

It is not the intention of this present memorandum to make an extensive analysis of the towship's noise field at the array (such an analysis should probably use the fast field program or the range-dependent parabolic equation techniques <4>), but merely to show that the noise field is complex, with a covariance matrix of rank >1. This is the same as saying that the noise from one source arrives from different directions at the same receiver when added coherently. The resolution of various paths from the towship with the array was shown in <5>, using different signal-processing techniques. With those methods and a fairly good signal-to-noise ratio, only four phones of a towed array were used to resolve the towship's noise directions.

An additional indication of the complex nature of acoustic signals in shallow water was obtained as a side result of a previous sea trial. Figure 7 gives an experimental example of mode interference over a signal path of 3 to 4 km. The range from the transmitting site to the receiving

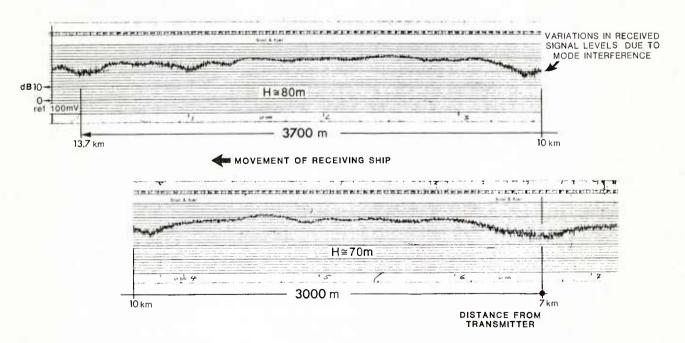


FIG. 7 EXAMPLE OF MODE INTERFERENCE AT 334 Hz
RECEIVED WITH A TOWED ARRAY
(taken from earlier experiments)

ship varied from 7 to about 14 km during the measurements. For this distance the modes can be assumed to be well separated. A strong, periodically repeated change (~10 dB) of the received signal level was observed while the ship was approaching the MANNING's position, where a transducer submerged at 30 m water depth transmitted a 334 Hz CW signal. The array was towed at 45 m depth in a shallow-water area of about 80 m water depth with a slightly upward-sloping bottom. The interference wavelength is calculated using the approximation for a 'soft' bottom of $\lambda_{\text{n,m}} \approx 8 \; \text{H}^2 f/c_1 (\text{n}^2-\text{m}^2)$. From a comparison of the experimental and theoretical results for the first three modes, it is believed that the first and second modes have the strongest influence on this change in level. Table 4 compares the calculated and measured interference wavelength.

TABLE 4

INTERFERENCE	WAVELENGTH	$\lambda_{n,m}$	FOR	TWO	DIFFERENT	WATERDEPTHS
--------------	------------	-----------------	-----	-----	-----------	-------------

THEORETICALLY

Mode	H = 70 m	H = 80 m
1+2	2909 m	3800 m
2+3	1745 m	2280 m
1+3	1091 m	1425 m

OBSERVED

H = 70 m	H = 80 m	
~ 3000 m	~ 3700 m	

No further investigation was made during the sea trial. The observed values shown in Fig. 7 indicate the range from own ship to the transmitting site.

In the present sea-trial the water depth was generally greater than 150 m, the frequency over 100 Hz, and the cable scope of the towed array less than 750 m. Therefore straight-line ray tracing rather than normal-mode arrivals was used to estimate the angle of arrival at the array of the most likely paths <7>:

Direct (D)
Bottom-bounce (B)
Bottom/surface (BS)
Bottom/surface/bottom (BSB)
Bottom/surface/bottom/surface (BSBS)

Figure 8 shows the Maximum Likelihood Beamformer (MLB) output and the corresponding water depths and arrival angles for (a) 160 Hz in Run 1, (b) 335.2 Hz in Run 3, and (c) 280 Hz in Run 4. The angles given on the sea-depth profile correspond to the paths quoted above.

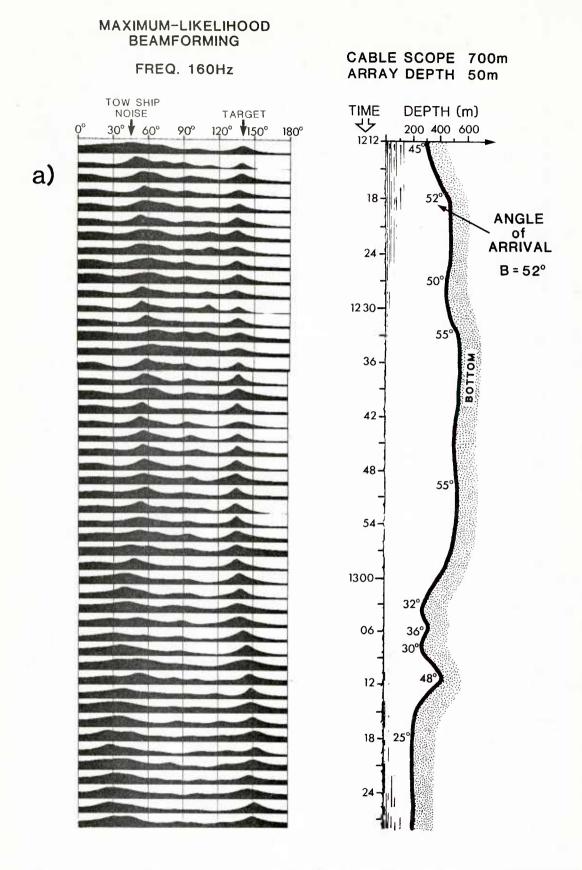


FIG. 8a MAXIMUM LIKELIHOOD BEAMFORMING OUTPUT SHOWING CORRESPONDING WATER DEPTHS AND ARRIVAL ANGLES AT THE BOTTOM

160 Hz (Run 1)

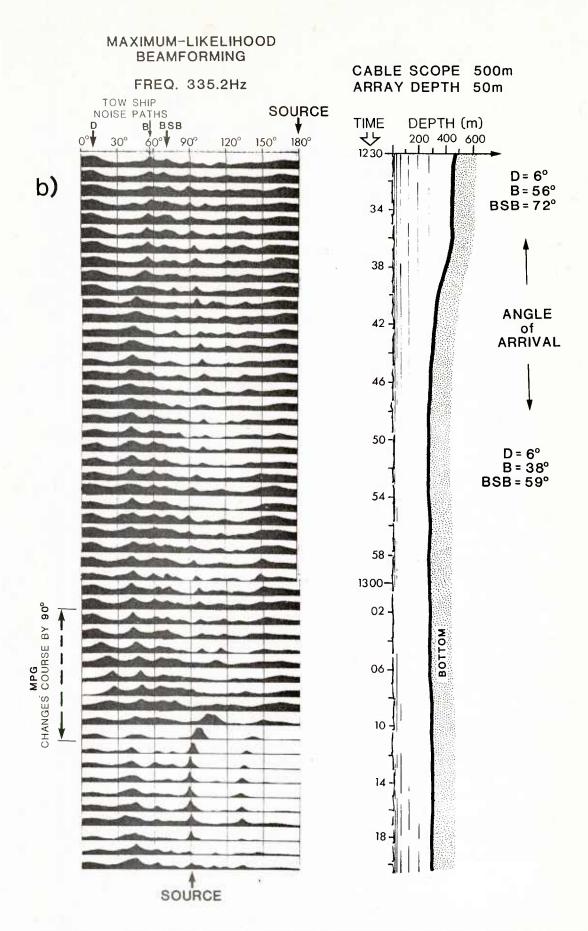


FIG. 8b MAXIMUM LIKELIHOOD BEAMFORMING OUTPUT SHOWING CORRESPONDING WATER DEPTHS AND ARRIVAL ANGLES AT THE BOTTOM

335.2 Hz (Run 3)

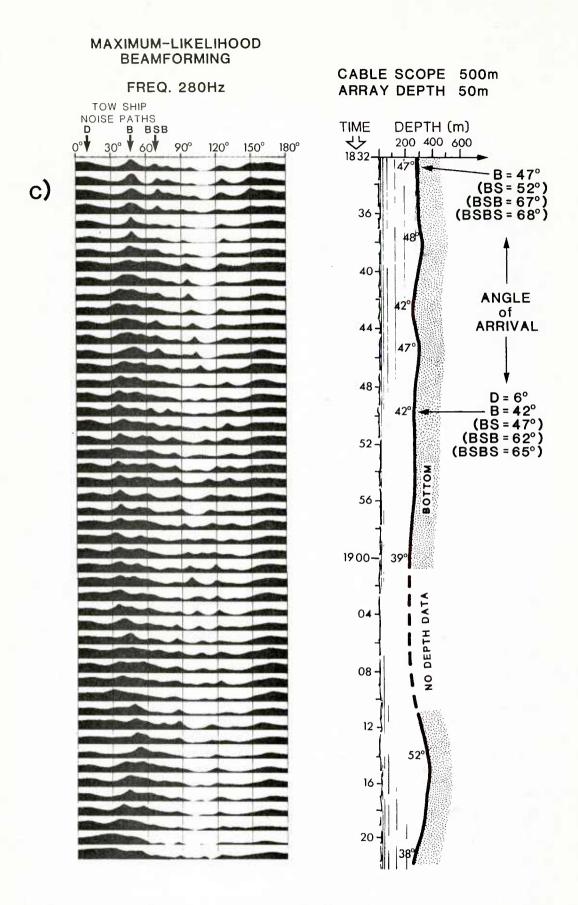


FIG. 8c MAXIMUM LIKELIHOOD BEAMFORMING OUTPUT SHOWING CORRESPONDING
WATER DEPTHS AND ARRIVAL ANGLES AT THE BOTTOM
280 Hz (Run 4)

It is seen from these figures that the bottom-bounce path (B) is usually the dominant one, although the direct path (D) and the bottom/surface/bottom path (BSB) are also observed, particularly at the higher frequency when the angular resolution is better.

Figure 8b includes data obtained whilst the towship was making a 90° turn and it is seen how the array at 500 m scope lagged behind the MPG during the turn so that the direct path made a bigger angle with the array. These direct-path data indicate how, at this frequency, when the array is looking end-fire it can indicate the vertical angle of arrival of a signal. For example, the direct path under the steady-state conditions of Run 3 arrives at about 5° (arcsin 40/450), as shown in Fig. 8b.

4.2 Towship-noise suppression

This discussion starts with some mathematical observations about the three methods implemented:

- a. Modified eigenvalues
- b. Reference beam
- c. Reference hydrophone

The question is to determine how the methods modify the covariance matrix \boldsymbol{R} given by:

$$R = \sum_{n=1}^{N} \lambda_n E_n E_n^* , \qquad (Eq. 29)$$

The method of modified eigenvalues, as described in Eq. 12, results in a modified matrix R' given by

$$R' = \sum_{n=1}^{N} \lambda_n' E_n E_n^* \qquad (Eq. 30)$$

which can be written as:

$$R^{\dagger} = R - \sum_{n=1}^{N} \left(\lambda_{n} - \lambda_{n}^{\dagger} \right) E_{n} E_{n}^{\star}$$

$$= R - R_{T,1}$$
(Eq. 31)

The method with reference beam

$$R' = R - \frac{(RW_r)(RW_r)^*}{W_r^* R W_r} = R - \mu_2 V_2 V_2^* = R - R_{T,2}$$
 (Eq. 32)

The method with reference hydrophone

$$R' = R - \frac{Q Q^*}{r_y} = R - \mu_3 V_3 V_3^* = R - R_{T,3}$$
 (Eq. 33)

 $R_{T,1}$, $R_{T,2}$ and $R_{T,3}$ respectively, are what the three methods estimate as the contribution to the covariance matrix R from the towship. According to definition (App. D), $R_{T,1}$ will have rank M if M eigevalues are modified, while $R_{T,2}$ and $R_{T,3}$ are seen always to have rank 1 (only one non-zero eigenvalue). But it is shown in App. C that the rank is 1 only for a completely coherent reception. Hence the two last methods may work only if the real contribution $R_{T,0}$ has rank 1. The experimental results, however, indicate strongly that this is not so.

The three methods are discussed individually below. Figure 9 gives the line-printer outputs supporting the discussion, although no data are shown for the Reference Hydrophone Technique (Sect. 4.2.3).

4.2.1 The method with modified eigenvalues

If M eigenvalues are modified, then:

$$R_{T,1} = \sum_{n=1}^{N} (\lambda_n - \lambda'_n) E_n E_n^* \quad n \in \{n_1, n_2, \dots, n_m\} \quad .$$
 (Eq. 34)

Hence this method can easily create a modification of any rank. But that is all; almost as expected, the method is more or less useless. It was implemented simply because it was so simple to implement. The obvious reason that it does not work well is that there is not a one-to-one correspondence between eigenvalues vectors and sources. The contribution from one source is usually distributed on many eigenvalues, and one eigenvalue is a combination of contributions from several sources. The only simple case is with orthogonal sources.

Still, the method gave insight. It was quite interesting to see the "correlation" between the beam-steering vectors and the eigenvectors:

$$C_{ij} = |B_i^* E_j|^2$$
 $0 \le C_{ij} \le 1$ (Eq. 35)

when both B and E are normalized. During the sea-trial, C_{ij} was printed out for the two eigenvectors belonging to the two largest eigenvalues (part of the tabulated data given to create Fig. 6). When signals were transmitted from the source below the workboat it was often possible to

CONVENTIONAL BEAMFORMING EFFECT OF NOISE SUPPRESSION TECHNIQUES

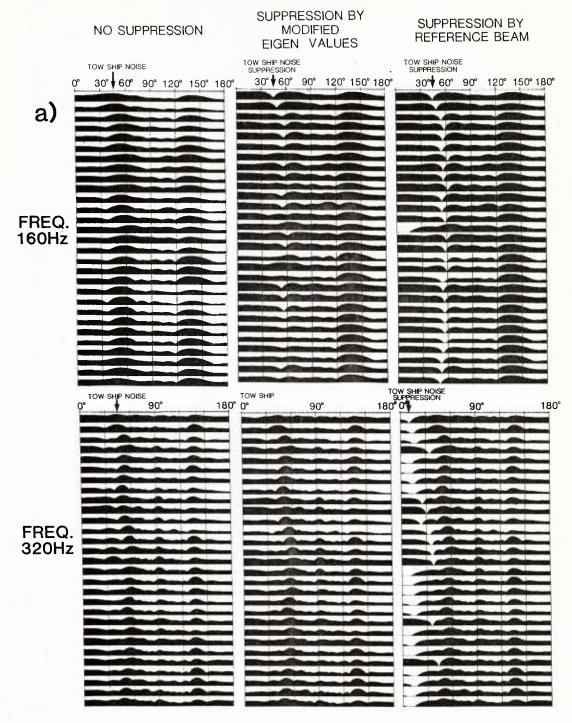


FIG. 9a COMPARISON OF NOISE SUPPRESSION TECHNIQUES
Run 1 (160 and 320 Hz) processed with conventional beamforming

MAXIMUM-LIKELIHOOD BEAMFORMING EFFECT OF NOISE SUPPRESSION TECHNIQUES

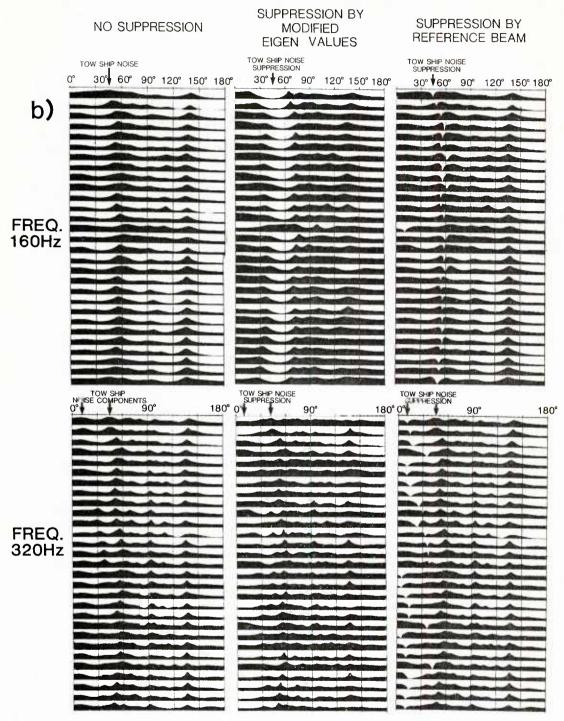


FIG. 9b COMPARISON OF NOISE SUPPRESSION TECHNIQUES
Run 1 (160 and 320 Hz) processed with maximum likelihood beamforming

CONVENTIONAL BEAMFORMING EFFECT OF NOISE SUPPRESSION TECHNIQUES

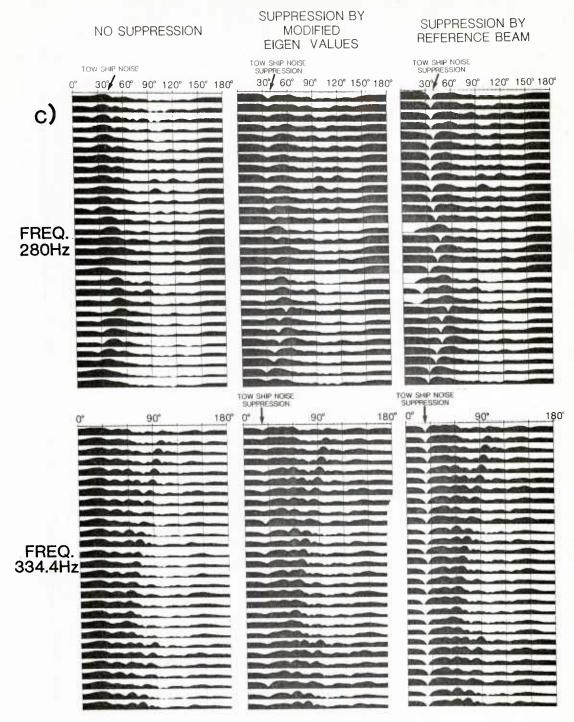


FIG. 9c COMPARISON OF NOISE SUPPRESSION TECHNIQUES
Run 2 (280 and 334.4 Hz) processed with conventional beamforming

MAXIMUM-LIKELIHOOD BEAMFORMING EFFECT OF NOISE SUPPRESSION TECHNIQUES

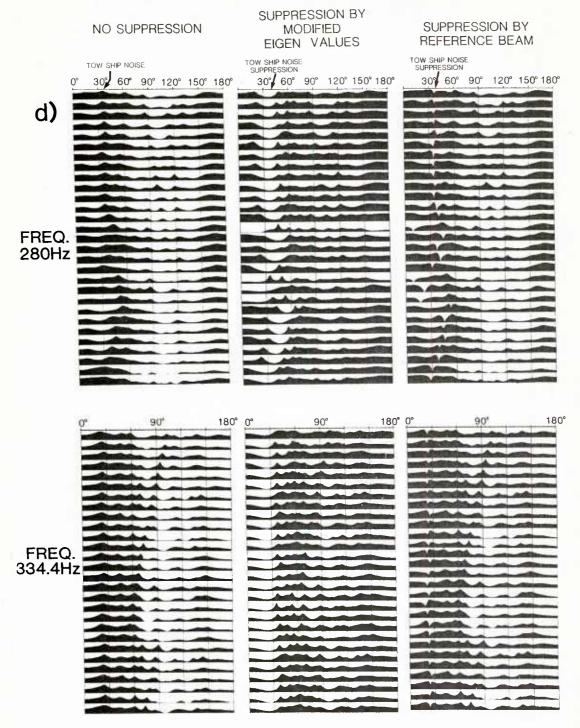


FIG. 9d COMPARISON OF NOISE SUPPRESSION TECHNIQUES
Run 2 (280 and 334.4 Hz) processed with maximum likelihood beamforming

observe how the two eigenvalues $\rm E_1$ and $\rm E_2$ were correlated with beam directions corresponding both to the towship noise and to the workboat source. This means that we could easily suppress the towship noise by modifying the first three to four eigenvalues, but at the same time the other signals would also be suppressed in an uncontrolled, random, and untolerable way.

At this point it may be appropriate to speculate why the towship noise seen by the array towed 500 m behind the ship has a covariance matrix of rank 3 to 4. There are three possibilities:

- a) That the geometry of the array relative to the ship is changing during the averaging time of the covariance matrix. This time was 40 to 60 s in the sea-trials, which is obviously long compared with the possible snaking of the array. The solution would be to use a much shorter averaging time and, if possible, to overlap the FFT-windows in the filtering process before the averaging, so as to use the data efficiently.
- b) That the different paths of the multipath propagation from the towship to the array have different travel times. As the continuous background spectrum of the towship has a short correlation time, the contribution at a given time from the different paths may have a reduced cross-correlation, which would mean a non-coherent signal from the towship and thus a <u>covariance matrix</u> of rank higher than one.
- c) That the influence of the medium and its boundaries is reducing the coherence, even for an originally stable line (CW).

4.2.2 The method with reference beam

This method estimates the covariance matrix of towship noise to be

$$R_{T,2} = \frac{(RW_r)(RW_r)^*}{W_r^* RW_r} \cdot \mu_2 V_2 V_2^*, \qquad (Eq. 36)$$

where

$$W_{r} = \begin{cases} B_{r} & \text{for CBF} \\ (B_{r}^{*}R^{-1}B_{r})^{-1}R^{-1}B_{r} & \text{for MLBF} \end{cases}$$

In both cases the model assumes that the towship noise arrives as a coherent plane wave at the array. This might work well in deep water; in shallow water it definitely did not. The towship noise was certainly suppressed in a bearing interval around the reference-beam direction (given by $B_{\rm r}$), but the rest of the towship noise was more or less unchanged.

Secondly, if there was any signal (target) in the reference direction, it would also be cancelled. The plots indicate multipath arrival at different

vertical arrival angles. Hence, even if the towship noise is coherent, it would not fit a plane-wave model. Making it even more difficult, there are strong indications (from study of eigenvalues and vectors) that the towship-noise signal is not coherent over the array.

It might be possible to work out an <u>ad hoc</u> algorithm by choosing more than one reference beam and cross correlating between the reference beams, but it is not obvious that the outcome would be worth the effort.

4.2.3 The method with reference hydrophone

This method estimates the covariance matrix of the towship noise to be

$$R_{T,3} = \frac{Q Q^*}{r_y} = \mu_3 V_3 V_3^*$$
 (Eq. 37)

where

$$Q = \frac{1}{M} \sum_{i=1}^{M} X_i y_i^*$$

and

$$r_y = \frac{1}{M} \sum_{j=1}^{M} |y_j|^2$$
 (Eq. 38)

As seen, the method assumes the covariance to be of rank 1, which means a coherent signal. But it is an improvement (theoretically, at least) from the reference-beam method in that it does not assume anything about the shape of the wavefront. Furthermore, even a signal with the same directivity pattern as the towship noise should be only slightly reduced if:

$$\begin{pmatrix} \sigma^2 & \sigma^2 \\ \text{ref} & \text{array} \end{pmatrix}$$
 Towship $\Rightarrow \begin{pmatrix} \sigma^2 & \sigma^2 \\ \text{ref} & \text{array} \end{pmatrix}$ Target ,

where

 σ^2_{ref} = Towship or Target power on the reference hydrophone

 $\sigma^2_{array} = Towship or Target power on the array hydrophone.$

This is exactly the reason why the reference hydrophone has to be closer to the towship than to the array. From a theoretical point of view this should be the best of the three implemented methods. Although this sounds promising, the results so far show that the method in its present shape does not work at all. There are two possible reasons for this:

a) The first is that the reference hydrophone was rather simply designed and thus created flow noise. This would especially influence its

power estimate r_y . This estimate was therefore modified by a factor smaller than 1, to see what would happen:

$$r_y' = q_n r_y$$
, where $0 \le q \le 1$.

This was done at different frequencies, including the frequency of 334 Hz transmitted by the workboat.

The result was disastrous. The workboat signal disappeared almost completely, while the towship noise was still there, reduced only for some bearings. Looking a little closer at the mathematics, let $\, B_{\overline{1}} \,$ be a

direction where we can identify towship noise, and $B_{\hat{S}}$ be the direction of the workboat source. Then, in obvious notation:

$$P_{T} = B_{T}^{*} R B_{T}$$
 (Eq. 39)

$$P_{T}^{i} = B_{T}^{*} \left(R - \frac{Q Q^{*}}{r_{y}} \right) B_{T} = B_{T}^{*} R B - \frac{\left| B_{T}^{*} Q \right|^{2}}{r_{y}}$$
, (Eq. 40)

$$\frac{P_T^i}{P_T} = 1 - \frac{1}{r_y} \frac{|B_T^* Q|^2}{|B_T^* R B_T} .$$
 (Eq. 41)

Similarly:

$$\frac{P_S'}{P_S} = 1 - \frac{1}{r_V} \frac{|B_S^* Q|^2}{B_S^* R B_S} .$$
 (Eq. 42)

To obtain a good suppression of the towship noise relative to the suppression of the workboat signal, we must have:

$$\frac{P_T^1}{P_T} \ll \frac{P_S^1}{P_S} \qquad , \tag{Eq. 43}$$

which is the same as:

$$\frac{\left| \begin{array}{c} B_{T}^{*} Q \right|^{2}}{B_{T}^{*} R B_{T}} >> \frac{\left| \begin{array}{c} B_{S}^{*} Q \right|^{2}}{B_{S}^{*} R B_{S}} \end{array}$$
 (Eq. 44)

This is in many (maybe most) situations, not the case.

The second possibility is that the change of the geometry relevant to towship noise (towship source, reference hydrophone, array) during the

averaging time may have been much more damaging than the changes in geometry relevant to the workboat signal. There would always have been some heaving movement of the towship that could have changed the position of the noise source and the nature of the multipath pattern of its noise propagation. The implemented averaging time was certainly too long to allow an adjustment to the dynamics.

Even if the geometry was completely frozen, the present implementation might still not work well. The reason is, as mentioned earlier, that the rank of the towship noise at the array was higher than 1, at least of the order 3 to 4 at 334 Hz. Hence it cannot be suppressed by a rank-1 matrix.

There are two ways to increase the rank of the reference system. One is to increase the number of reference hydrophones. This might help to some extent, but it will not help if the reason for reduced coherence is the different travel times along the different paths. Another possibility is to work with several delayed versions of the reference hydrophone output, to create a vector:

$$y(t) = \begin{cases} y(t-\tau_1) \\ y(t-\tau_2) \\ y(t-\tau_1) \end{cases}$$
 (Eq. 45)

By going through the mathematics, this will result in:

$$R^{1} = R_{xx} - R_{xy} R_{yy}^{-1} R_{yx} = R - R_{T,y}$$
, (Eq. 46)

where

$$R_{xy} = \frac{1}{M} \sum_{i=1}^{M} X_i Y_i^*$$
, etc. (Eq. 47)

The dimension L of Y should be such that R_{YY} has full rank. Otherwise it cannot be inverted, and in fact has more elements than can be used. Ideally the rank of R_{YY} should be the same as the rank of the towship-noise contribution to R.

The problems of flow noise could be overcome by creating a new reference-sensor system with reduced flow noise and low, or almost no, sensitivity to acceleration (vibration).

4.3 Target indication and bearing estimation

As with the techniques for the suppression of towship noise, only qualitative comments can be given on the performance (Fig. 10) of the two forms of processing (CBF, MLB) for providing an indication of the presence of a target of opportunity and an estimate of the target bearing for

frequencies of 112 Hz and 334.4 Hz. Figure 10b also includes the presence of a 334.4 CW source. Points to note from Figs. 10a and b are:

- a. The CBF processing does not include any shading, therefore the sidelobes are high. However, CBF is not a serious contender for providing target data.
- b. The MLB beamforming gives a sharp and precise display, although at times low-level 'targets' are suppressed, due to the maximum level used on the normalizing factor in the display.

CONCLUSIONS

- a. A detailed analysis should be made of the signal paths between the towship and the array. An eigenvalue analysis indicated that the multipath propagation is reducing the coherence (Sect. 4.2). This may require the application of the FFP technique and normal-mode propagation (Sect. 4.1).
- b. Because of the lack of a one-to-one correspondence between eigenvalue/vector and source, except when the sources are orthogonal, the modified eigenvalue technique for suppressing towship noise does not appear to be feasible (Ch. 3). However, the eigenvalues/vectors give useful information on certain characteristics of signals and will therefore be used in the future signal-processing software.
- c. The use of a single reference beam for suppressing towship noise is not satisfactory; it assumes that the towship noise arrives at the array as a coherent plane wave and that there is no target signal in that direction (Ch. 3). It would be possible to select more than one beam and produce an <u>ad hoc</u> algorithm but its usefulness under the full range of operating conditions would be questionable. No further work is proposed at this stage.
- d. The reference-hydrophone technique did not work because of the oversimplified nature of the present technique and the high level of flow-noise in the reference hydrophone (Ch. 3). A technique to provide a more accurate representation of the towship noise is being developed for future sea trials, and the hydrophone design is being improved to keep the flow-noise level to a minimum using a separate streamer for the reference hydrophone.

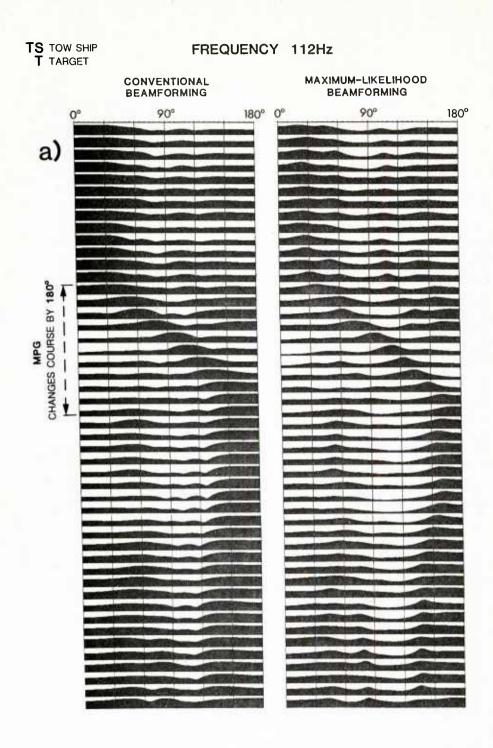


FIG. 10a COMPARISON OF TARGET-BEARING INDICATION BY CONVENTIONAL BEAMFORMING AND MAXIMUM LIKELIHOOD BEAMFORMING Low frequency, 112 Hz, Target only

TS TOW SHIP
T' TARGET
S SOURCE
SL SOURCE LEVEL (dB)

FREQUENCY 334.4Hz

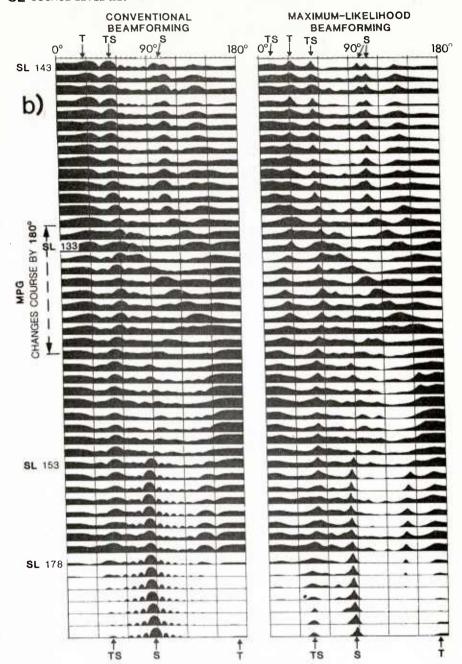


FIG. 10b COMPARISON OF TARGET-BEARING INDICATION BY CONVENTIONAL BEAMFORMING AND MAXIMUM LIKELIHOOD BEAMFORMING High frequency, 334.4 Hz, Target plus CW source

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Electronic/Açoustic E. Muzi Assistance with sea trials.

Ci idis.

Engineering Department P. Saia Assistance with sea

trials.

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APPENDICES

APPENDIX A

COVARIANCE MATRIX ESTIMATE

This appendix gives seven definitions with their associated properties and "proofs" relevant to our use of the covariance matrix estimate, R. The main emphasis is on eigenvalues and eigenvectors.

The square matrix R of dimension N is defined by:

$$R = \frac{1}{M} \sum_{i=1}^{M} X_{i} X_{i}^{*} , \qquad (Eq. A.1)$$

where X will be called a data vector, and is of dimension N, and the star indicates a complex conjugate transpose.

A.1 DEFINITION

A square matrix P is called hermitian if it is equal to its own complex conjugate transpose, P^* .

Proof:

$$R^* = \frac{1}{M} \begin{pmatrix} M \\ \Sigma \\ i=1 \end{pmatrix} X_i X_i^* \end{pmatrix}^* = \frac{1}{M} \sum_{i=1}^{M} (X_i^*)^* (X_i)^* = \frac{1}{M} \sum_{i=1}^{M} X_i X_i^* = R$$
 (Eq. A.2)

Property 2
$$Z = Y*RY$$
 is a real scalar for all vectors Y: (P2)

Proof:

$$Z^* = (Y^*RY)^* = Y^*R^*Y$$

By use of (P1):

$$Z^* = Y^* R^* Y = Y^* R Y = Z$$
 q.e.d.

A.2 DEFINITION

A square hermitian matrix P is positive definite if, for all vectors Y except the null vector, Y*PY > 0, and non-negative definite if $Y*PY \ge 0$.

Property 3: R is a non-negative definite matrix. (P3)

Proof:

$$Z = Y*RY = \frac{1}{M} \sum_{i=1}^{M} Y*X_{i}X_{i}^{*}Y = \frac{1}{M} \sum_{i=1}^{M} (Y*X_{i})(Y*X_{i})^{*}$$

$$= \frac{1}{M} \sum_{i=1}^{M} |Y*X_{i}|^{2} \ge 0 \qquad \text{q.e.d.}$$
(Eq. A.3)

A.3 DEFINITION

If E is a vector, but not a null vector, such that PE = λE , then E is called an eigenvector, and λ the corresponding eigenvalue. The eigenvectors are always assumed to be normalized: E*E = 1.

Property 4: the eigenvalues of R are real and non-negative. (P4)

Proof:

$$\lambda E = RE$$

Premultiplying with E*:

$$\lambda = \lambda E^* E = E^* R E$$

According to (P2), λ is real, and according to (P3), λ is non-negative.

A.4 DEFINITION

Two vectors X and Y, not being null-vectors, are orthogonal if $X^*Y = 0$. They are called orthonormal if both X and Y are normalized by having unit length.

<u>Property 5</u>: Eigenvectors of R with different eigenvalues are orthogonal.

Proof:

$$\lambda_m E_n^* E_m = E_n^* \lambda_m E_m = E_n^* R E_m$$

By exchanging indices:

$$\lambda_n E_m^* E_n = E_m^* R E_n$$

Then take the complex conjugate transpose of both sides of the last equation and use (P1) and (P4):

$$\lambda_n E_n^* E_m = E_n^* R E_m$$

Combining the first and last equations of the proof:

$$(\lambda_m - \lambda_n) E_n^* E_m = 0$$

As the eigenvalues are assumed different $\lambda_n \neq \lambda_m$:

$$E_{n}^{*} E_{m} = 0$$
 q.e.d.

<u>Property 6:</u> There are N eigenvalues of R, which may not be all distinct (different from each other), and they are a solution to

$$det(R-\lambda I) = 0$$

Proof:

$$RE = \lambda E$$

 $(R-\lambda I) E = 0$

This can be true for E not being a null vector if, and only if, the determinant of $(R-\lambda I)$ is zero. $det(R-\lambda I) = 0$.

This is a polynome in $\ \lambda$ of degree N , and has N solutions, which may not be distinct. These N solutions are hence eigenvalues of R.

We know already that if eigenvalues are different, their corresponding eigenvectors are orthonormal. If there are k eigenvalues with the same value, we will state without proof that it is always possible to find exactly k orthonormal vectors that are eigenvectors of R with this same value on their eigenvalues. Hence we state without proof the following property:

Property 7: R has always N orthonormal eigenvectors. (P7)

<u>Property 8</u>: The eigenvectors with positive eigenvalues are linear combinations of the data vectors.

Proof:

$$\lambda E = RE = \frac{1}{M} \sum_{i=1}^{M} X_i X_i^* E = \frac{1}{M} \sum_{i=1}^{M} (X_i^* E) X_i$$
 q.e.d.

<u>Property 9</u>: Eigenvectors, which are linear combinations of the data vectors, have positive eigenvalues. (P9)

Proof:

$$\lambda = \lambda E^*E = E^*RE = \frac{1}{M} \sum_{j=1}^{M} E^*X_j X_j^*E = \frac{1}{M} \sum_{j=1}^{M} |X_j^*E|^2 > 0$$
 q.e.d.

A.5 DEFINITION

The k vectors Y are said to be linearly independent if

$$\sum_{i=1}^{K} c_i Y_i = 0$$
 only if $c_i = 0$ for $i = 1, ..., k$

<u>Property 10</u>: If the number of independent data vectors is M, and M < N, $\overline{\text{N}}$ - M eigenvectors are orthogonal to the data vectors, and their corresponding eigenvalues are zero. (P10)

"Proof":

From M independent data vector, we state without proof that M eigenvectors be constructed:

$$E_{m} = \sum_{i=1}^{M} c_{i}X_{i}$$
 $m = 1, 2, ..., M$

or, in a more compact form:

$$\begin{bmatrix} \mathsf{E}_1, \; \mathsf{E}_2, \; \ldots, \; \mathsf{E}_{\mathsf{M}} \end{bmatrix} = \begin{bmatrix} \mathsf{X}_1, \; \mathsf{X}_2, \; \ldots, \; \mathsf{X}_{\mathsf{M}} \end{bmatrix} \mathsf{C}$$

As the M eigenvectors are also independent, C must be non-singular, and C^{-1} exists:

$$\begin{bmatrix} X_1, X_2, \dots, X_M \end{bmatrix} = \begin{bmatrix} E_1, E_2, \dots, E_n \end{bmatrix} c^{-1}$$

Premultiply with an eigenvector E_n n = M+1, ..., N

$$\begin{bmatrix} E_n^* X_1, & E_n^* X_2, & \dots, & E_n^* & X_n \end{bmatrix} = \begin{bmatrix} E_n^* & E_1, & E_n^* & E_2, & \dots, & E_n^* & E_m \end{bmatrix} c^{-1}$$
$$= \begin{bmatrix} 0, & 0, & \dots, & 0 \end{bmatrix} c^{-1} = 0$$

by using (P7). Hence

$$E_n^* \hat{X}_i = 0$$
 for $i = 1, 2, ..., M$ $n = M+1, M+2, ..., N$ q.e.d.

The corresponding eigenvalues are:

$$\lambda_{n} = \lambda_{n} E_{n}^{*} E_{n} = E_{n}^{*} R E_{n} = \frac{1}{M} \sum_{i=1}^{M} |E_{n}^{*} X_{i}|^{2} = 0$$
 q.e.d.

A.6 DEFINITION

A square matrix P is singular if its determinant is zero, and its inverse P^{-1} does not exist.

Property 11: If the number of independent data vectors M is less than N, R is singular. (P11)

<u>Proof</u>: From (P10) it follows that if M < N, N - M eigenvalues are zero. But the eigenvalues are solutions to $det(R-\lambda I) = 0$.

Hence for $\lambda = 0$, det(R) = 0 and R is singular.

<u>Property 12</u>: If R has N independent data vectors, it is non-singular and its inverse R^{-1} does exist. (P12)

<u>Proof</u>: The proof follows directly from (P8) and (P9) for M = N and these data vectors are independent.

A.7 DEFINITION

A square non-singular matrix P is unitarian if its inverse P^{-1} is equal to its complex conjugate transpose P^* .

<u>Property 13</u>: The square matrix $S = [E_1, E_2, ..., E_n]$ constructed from the N eigenvectors of R, are unitarian. (P13)

<u>Proof</u>: Because the eigenvectors are independent, S is non-singular, and its inverse S^{-1} exists. Let us introduce:

$$T = (S^{-1})^*$$

= $[T_1, T_2, ..., T_N]$

where T_i is a vector.

These vectors can be expressed as linear functions of the eigenvectors:

$$T_{i} = \sum_{j=1}^{N} \alpha_{ij} E_{j}$$

From $T*S = S^{-1}S = I$ it follows that:

$$T_i^*E_n = \sum_{j=1}^{N} \alpha_{ij}^*E_j^*E_n = \alpha_{in}^* = 1 \text{ if } i = n$$

$$= 0 \text{ if } i \neq n$$

From this follows:

$$T_i = E_i$$
 and $T = S = (S^{-1})^*$ q.e.d.

Property 14: R can be written as R = SD S*, where $D = diag(\lambda_1, \lambda_2, \ldots, \lambda_n)$ is a diagonal matrix. (P14)

Proof:

$$RE_i = \lambda_i E_i$$
 i = 1, 2, ..., N can be combined to:
 $RS = SD$

As S is non-singular:

$$R = S D S^{-1}$$

and from (P13):

$$R = S D S^*$$
 q.e.d.

Property 15: If R is non-singular, its inverse can be written:

$$R^{-1} = S D^{-1} S^*$$
 (P15)

Proof:

$$R^{-1} = (S D S^*)^{-1} = (S^*)^{-1} D^{-1} S^{-1}$$

Using (P13), this is

$$R^{-1} = S D^{-1} S^*$$
 q.e.d.

Property 16: Let n be an integer, then $R^n = S D^n S^*$

Proof:

For $n \ge 1$

$$R^{n} = (SDS^{*}) (SDS^{*}) \dots (SDS^{*}) = SDS^{-1} SDS^{-1} \dots SDS^{*} = SD^{n}S^{*}$$
 q.e.d.

For $n \leq -1$

$$R^{-n} = (SD^{-1}S^*)(SD^{-1}S^*)...(SD^{-1}S^*) = SD^{-1}S^{-1}S D^{-1}S^{-1}...SD^{-1} = SD^{-n}S^* q.e.d.$$

For n = 0

$$R^{\circ} = I = SS^{-1} = SS^{*} = SD^{\circ}S^{*}$$
 q.e.d.

Property 17: Let n be an integer, then

$$R^{n} = \sum_{i=1}^{N} \lambda_{i} E_{i} E_{i}^{*}$$

Proof:

$$D^{n} = \left[\operatorname{diag}(\lambda_{1}, \lambda_{2}, \ldots, \lambda_{n})\right]^{n} = \operatorname{diag}(\lambda_{1}^{n}, \lambda_{2}^{n}, \ldots, \lambda_{N}^{n})$$

by direct use of matrix multiplication. Hence:

$$\mathbb{R}^{n} = [\mathsf{E}_{1}, \; \mathsf{E}_{2}, \; \ldots, \; \mathsf{E}_{N}]$$

$$\begin{bmatrix} \lambda_{1}^{n} & & & & & & & & \\ \lambda_{2}^{n} & & & & & & & \\ & \ddots & & & & & \\ & & & \lambda_{N}^{n} \end{bmatrix} \qquad \begin{bmatrix} \varepsilon_{1}^{\star} & & & & \\ \varepsilon_{2}^{\star} & & & & \\ & \ddots & & & & \\ & & & \varepsilon_{N}^{\star} \end{bmatrix}$$

$$\begin{bmatrix} \lambda_{1}^{n} \; \varepsilon_{1}^{\star} & & & \\ \lambda_{2}^{n} \; \varepsilon_{2}^{\star} & & & \\ & & & & & \\ \end{bmatrix}$$

$$= [E_1, E_2, \dots, E_N] \begin{bmatrix} \lambda_1^n E_1^* \\ \lambda_2^n E_2^* \\ \vdots \\ \lambda_N^n E_N^* \end{bmatrix} = \begin{bmatrix} N \\ \sum_{i=1}^{N} \lambda_i^n E_i E_i^* \\ q.e.d.$$

Property 18: For all X and Y:

$$X^* R^n Y = \sum_{i=1}^{N} \lambda_i^n (X^* E_i)(E_i^* Y)$$

<u>Proof</u>: This follows directly from (P17).

APPENDIX B

BEAMFORMING AND NOISE SUPPRESSION

B.1 CONVENTIONAL BEAMFORMING (CB)

Let X_i be a narrowband complex data vector of dimension N (N array hydrophones) from a frequency band around f, where i is a time index. Then the covariance matrix estimate R is defined by:

$$R = \frac{1}{M} \sum_{i=1}^{M} X_i X_i^*$$
 $M \ge N$, (Eq. B.1)

where 1/M is added for convenience.

A steering vector B is defined by:

$$B^{T} = \left[1, \ldots, e^{-j2\pi \frac{x_{n}}{\lambda} \cos \beta}\right] \frac{1}{\sqrt{N'}},$$

where x_n is the position of the n-th hydrophone, β is the bearing angle measured from ahead endfire, and \sqrt{N} is introduced to normalize B: B*B=1.

A "conventional" beam is then:

$$Z_{k,i} = B_k^* X_i$$
, where i and k are time and bearing indices.

The power output is:

$$P_{k,i} = |Z_{k,i}|^2 = Z_{k,i} Z_{k,i}^* = B_k^* X_i X_i^* B_k$$
.

The mean (in time) conventional beam power output is:

$$P_{k} = \frac{1}{M} \sum_{i=1}^{M} P_{k,i} = \frac{1}{M} B_{k}^{*} \sum_{j=1}^{M} X_{i} X_{i}^{*} B_{k} = B_{k}^{*} R B_{k}.$$

Using eigenvalues and eigenvectors, this can be written:

$$P_{k} = \sum_{n=1}^{N} \lambda_{n} (B_{k}^{*} E_{n}) (E_{n}^{*} B_{k}) = \sum_{n=1}^{N} \lambda_{n} |B_{k}^{*} E_{n}|^{2}$$

B.2 MAXIMUM LIKELIHOOD BEAMFORMING (MLB)

Instead of the steering vector $\, {\bf B} \,$, use a weight vector $\, {\bf W} \,$ to form a beam:

$$Z_{k,i} = W_k^* X_i$$
.

The so-called maximum-likelihood beamforming is obtained by choosing a weight vector W that is minimizing

$$W_k^* R W_k$$
 constrained by $W_k^* B_k = B_k^* W_k = 1$

$$Y_{k} = W_{k}^{*} R W_{k} = (W_{k}^{*} - W_{o}^{*}) R (W_{k} - W_{o}) + W_{k}^{*} R W_{o} + W_{o}^{*} R W_{k} - W_{o}^{*} R W_{o}$$

The constraint can now be introduced by choosing

$$Y_k = (W_k - c R^{-1} B_k)^* R(W_k - c R^{-1} B_k) + c + c^* - cc^* B_k^* R^{-1} B_k$$

Assuming R to be positive definite, this has a minimum for:

$$W_k = c R^{-1} B_k$$

The constant c is determined from the constraint

$$B_{k}^{*}W_{k} = c B_{k}^{*} R^{-1} B_{k} = 1$$

$$W_k = (B_k^* R^{-1} B_k)^{-1} R^{-1} B_k$$

The ML beamformer output will be:

$$Z_{k,i} = (B_k^* R^{-1} B_k)^{-1} (B_k^* R^{-1} X_i)$$

and the mean power output will be:

$$P_{k} = W_{k}^{*} R W_{k} = (B_{k}^{*} R^{-1} B_{k})^{-1} (B_{k}^{*} R^{-1} R R^{-1} B_{k}) (B_{k}^{*} R^{-1} B_{k})$$

$$= (B_{k}^{*} R^{-1} B_{k})^{-1}$$

Using eigenvectors and eigenvalues of R:

$$P_{k} = \begin{bmatrix} N & \lambda_{n}^{-1} & (B_{k}^{*} E_{n}) & (E_{n}^{*} B_{k}) \end{bmatrix}^{-1} = \begin{pmatrix} N & \lambda_{n}^{-1} & |B_{k}^{*} E_{n}|^{2} \end{pmatrix}^{-1}$$

B.3 NOISE SUPPRESSION WITH REFERENCE BEAM

Let there be a reference beam:

$$Y_i = W_r^* X_i$$
 where $W_r = B_r$ for CBF
$$= (B_r^* R^{-1} B_r)^{-1} R^{-1} B_r$$
 for MLBF

This reference beam is used to correct the beams:

$$Z_{k,i} = Z_{k,i} - V_k Y_i$$

 \boldsymbol{V}_{k} is now chosen to minimize:

$$P_{k}^{i} = \frac{1}{M} \sum_{i=1}^{M} |Z_{k,i}^{i}|^{2} = \frac{1}{M} \sum_{i=1}^{M} (Z_{k,i} - V_{k} Y_{i}) (Z_{k,i} - V_{k} Y_{i})^{*}$$

$$= \frac{1}{M} \sum_{i=1}^{M} (Z_{k,i} Z_{k,i}^{*} - Z_{k,i} Y_{i}^{*} V_{k}^{*} - V_{k} Y_{i} Z_{k,i}^{*} + V_{k} V_{k}^{*} Y_{i}^{*})$$

$$= r_{z} - r_{zy} V_{k}^{*} - V_{k} r_{zy}^{*} + V_{k} V_{k}^{*} r_{y}$$

with obvious notation. This can be written:

$$P_{k}^{i} = \left(V_{k} - \frac{r_{zy}}{r_{y}}\right) \left(V_{k} - \frac{r_{zy}}{r_{y}}\right)^{*} r_{y} + r_{z} - \frac{r_{zy} r_{zy}}{r_{y}}^{*}$$

This has a minimum for:

$$V_{k} = \frac{r_{zy}}{r_{v}} = \frac{W_{k}^{\star} R W_{r}}{W_{r}^{\star} R W_{r}}$$

where W_{k} is defined similar to W_{r} for CBF and MLBF.

The corrected linear and mean power outputs are:

$$Z_{k,i}^{i} = W_{k}^{*} X_{i}^{-} \frac{W_{k}^{*}RW_{r}}{W_{r}^{*}RW_{r}} W_{r}^{*} X_{i}^{-} = \left(W_{k}^{*} - \frac{W_{k}^{*}RW_{r}}{W_{r}^{*}RW_{r}} W_{r}^{*}\right) X_{i}^{-}$$

$$P_{k}^{i} = W_{k}^{*} R W_{k}^{-} - \left(W_{r}^{*} R W_{r}^{*}\right)^{-1} \left|W_{k}^{*} R W_{r}^{-}\right|^{2}$$

for CBF:

$$P_{k}' = B_{k}^{*} R B_{k} - (B_{r}^{*} R B_{r})^{-1} |B_{k}^{*} R B_{r}|^{2}$$

Using eigenvalues and eigenvectors:

$$B_{k}^{*} R B_{k} = \sum_{n=1}^{N} \lambda_{n} |B_{k}^{*} E_{n}|^{2}$$

$$B_{r}^{*} R B_{r} = \sum_{n=1}^{N} \lambda_{n} |B_{r}^{*} E_{n}|^{2}$$

$$|B_{k}^{*} R B_{r}| = \sum_{n=1}^{N} \lambda_{n} (B_{k}^{*} E_{n})(B_{r}^{*} E_{n})^{*}$$

For MLBF:

$$P_{k}^{'} = (B_{k}^{*} R^{-1} B_{k})^{-1} - (B_{r} R^{-1} B_{r})^{-1} (B_{k} R^{-1} B_{k})^{-2} |B_{k}^{*} R^{-1} B_{r}|^{2}$$

And with eigenvalues and eigenvectors:

$$B_{k}^{*} R^{-1} B_{k} = \sum_{n=1}^{N} \lambda_{n}^{-1} \left| B_{k}^{*} E_{n} \right|^{2}$$

$$B_{r}^{*} R^{-1} B_{r} = \sum_{n=1}^{N} \lambda_{n}^{-1} \left| B_{r}^{*} E_{n} \right|^{2}$$

$$B_{k}^{*} R^{-1} B_{r} = \left| \sum_{n=1}^{N} \lambda_{n}^{-1} \left(B_{k}^{*} E_{n} \right) \left(B_{r}^{*} E_{n} \right)^{*} \right|$$

B.4 NOISE SUPPRESSION WITH REFERENCE HYDROPHONE

Let there be a reference hydrophone with output y. This reference hydrophone output is used to correct the array hydrophone outputs:

$$X_i' = X_i - Wy_i$$

W is now chosen to minimize:

$$p' = \frac{1}{M} \sum_{i=1}^{M} |X_i - Wy_i|^2 = \frac{1}{M} \sum_{i=1}^{M} (X_i - Wy_i)^* (X_i - Wy_i)$$

$$= \frac{1}{M} \operatorname{tr} \begin{bmatrix} M \\ \Sigma \\ i=1 \end{bmatrix} (X_{i} - Wy_{i})(X_{i} - Wy_{i})^{*}$$

$$= \frac{1}{M} \operatorname{tr} \begin{bmatrix} M \\ \Sigma \\ i=1 \end{bmatrix} (X_{i} X_{i}^{*} - X_{i} y_{i}^{*} W^{*} - Wy_{i} X_{i}^{*} + WW^{*} y_{i} y_{i}^{*})$$

$$= \operatorname{tr} (R - QW^{*} - WQ^{*} + WW^{*} r_{y})$$

with obvious notation. This can be written:

$$p' = tr \left[\left(W - \frac{Q}{r_y} \right) \left(W - \frac{Q}{r_y} \right)^* r_y + R - \frac{QQ^*}{r_y} \right]$$

This has a minimum for:

$$W = \frac{Q}{r_y}$$

The corrected data vector and covariance matrix will be:

$$X_i' = X_i - \frac{Q}{r_y} y_i$$

$$R' = \frac{1}{M} \sum_{i=1}^{M} x_i' x_i'^* = R - \frac{QQ^*}{r_V}$$

The new inverse matrix will be using the matrix inversion lemma:

$$R^{-1} = \left(R - \frac{QQ^*}{r_y}\right)^{-1} = R^{-1} + (r_y - Q^*R^{-1}Q)^{-1}(R^{-1} QQ^* R^{-1})$$

The mean output power using CBF:

$$p_{k}' = B_{k}^{*}R^{'}B_{k} = B_{k}^{*}RB_{k} - r_{y}^{-1} | B_{k}^{*}Q | ^{2}$$

Using eigenvalues and eigenvectors:

$$B_k^* R B_k = \sum_{n=1}^N \lambda_n |B_k^* E_n|^2$$

$$\left| \mathsf{B}_{\mathsf{k}}^{\mathsf{*}} \mathsf{Q} \right| = \sum_{\mathsf{n}=1}^{\mathsf{N}} \lambda_{\mathsf{n}}^{\mathsf{o}} \left(\mathsf{B}_{\mathsf{k}}^{\mathsf{*}} \mathsf{E}_{\mathsf{n}} \right) \mathsf{Q}^{\mathsf{*}} \mathsf{E}_{\mathsf{n}} \right)$$

This way of writing B*Q, as $B*IQ = B*R^oQ$ may seem artificial, but may be useful from a software point of view.

The mean output power using MLBF:

$$p_{k}^{'} = (B_{k}^{*} R^{-1}B_{k})^{-1} = \left[B_{k}^{*} R^{-1}B_{k} + (r_{y} - Q^{*}R^{-1}Q)^{-1} | B_{k}^{*} R^{-1}Q |^{2}\right]^{-1}$$

Using eigenvectors and eigenvalues:

$$B_{k}^{*} R^{-1} B_{k} = \sum_{n=1}^{N} \lambda_{n}^{-1} B_{k}^{*} E_{n}$$

$$Q^* R^{-1} Q = \sum_{n=1}^{N} \lambda_n^{-1} |Q^* E_n|^2$$

$$B_{k}^{*} R^{-1} Q = \begin{vmatrix} N & \sum_{n=1}^{N} \lambda_{n}^{-1} & (B_{k}^{*} E_{n}) & (Q^{*} E_{n})^{*} \end{vmatrix}$$

APPENDIX C

THE RANK OF A SOURCE'S CONTRIBUTION TO THE COVARIANCE MATRIX

From Appendix A, we know that the covariance matrix R can be written:

$$R = SAS^* = \sum_{i=1}^{N} \lambda_i E_i E_i^*$$

If only the first M eigenvalues are non-zero, R is singular, and is said to have rank M:

$$R = \sum_{i=1}^{M} \lambda_i E_i E_i^*$$

Let us look at the contribution from one source. If its contribution is completely coherent over the total array, then:

$$E\{x_i x_j^*\} = (E\{|x_i|^2\} \cdot E\{|x_j|^2\})^{\frac{1}{2}} e^{i(\psi_i - \psi_j)} = v_i v_j^*$$

leading to:

$$R_S = E\{XX^*\} = \sigma_S^2 VV^*$$

where V is a normalized vector (V*V=1). Now:

$$R_s E = \sigma_s^2 V(V^*E) \begin{cases} = \sigma_s^2 V & \text{if } E^*V = 1 \\ = 0^s & \text{if } E^*V = 0 \end{cases} (E = V)$$

This means that there is only one non-zero eigenvalue $\lambda_i = \sigma_s^2$ with corresponding eigenvector $E_1 = V$ and the rank of R_s is 1.

If the contribution from the source is completely incoherent, then:

$$E\{x_i x_j^*\} \begin{cases} = \sigma_i^2 & \text{if } i = j \\ = 0 & \text{if } i \neq j \end{cases}$$

Then:

$$R_S = \text{diag } \{\sigma_1^2 \dots \sigma_i^2 \dots \sigma_N^2\}$$

As $\det R_S = \prod_{i=1}^{N} \sigma_i^2 > 0$, this matrix has always full rank, or rank equal to N.

Hence a real source will give a contribution to the matrix with a rank from ${\bf 1}$ in the case of complete coherence, and increasing rank with decreasing coherence. We will define the field from the source to have rank ${\bf M}$ if:

10
$$\lg \left(\frac{\lambda_i}{\lambda_1}\right) \ge a$$
 for $i \le M$
 $< a$ for $i > M$

where a is a chosen threshold (as an example, $-10\,$ dB) and the eigenvalues are arranged in decreasing order.

APPENDIX D

RAY THEORY AND NORMAL-MODE THEORY

The acoustic propagation is usually expressed in terms of normal modes for the low-frequency propagation or in terms of rays for the high-frequency range. Ray theory and normal-mode theory is briefly reviewed here. A numerical comparison and overview is in reference <6>.

D.1 RAY THEORY

The limiting form of the exact wave theory for $\lambda \to 0$, i.e. $f \to \infty$ is called ray theory and the propagation is found to be frequency-independent for this limit. To achieve the limit the wave equation for the sound pressure

$$\nabla^2 p - c(z)^{-2} \frac{\partial p}{\partial t} = 0$$
 (Eq. D.1)

yields for $p \sim exp(-i\omega t)$

$$\nabla^2 p + k(z)^2 p = 0$$
 (Eq. D.2)

where k(z) is the wavenumber.

The "eikonal-solution"

$$p = A(r,z) \exp[i S(r,z)]$$
 (Eq. D.3)

permits for slowly varying A(r,z) the "eikonal equation"

$$(\vec{\nabla}S)^2 = k(z)^2 \tag{Eq. D.4}$$

For the derived solution for p the phase function S(r,z) permits the surfaces of constant phase, and

$$S(r,z) = const.$$
 (Eq. D.5)

are the wavefronts of the sound field. The vector $\overrightarrow{\nabla}S$ are normal to the wavefront and chosen to form tangents to space curves, the so-called "rays".

The path element along a ray in range and depth coordinates (r,z) is given by:

$$d\ell = \sqrt{1 + (dr/dz)^2} dz$$
 (Eq. D.6)

and the angle θ which the ray makes with the horizontal can be found as

$$\frac{dz}{d\ell} = \sin \theta$$
; $\frac{dr}{d\ell} = \cos \theta$; $\frac{dr}{dz} = \cot \theta$ (Eq. D.7)

The unit vector pointing normal to the surface S(r,z) = const. and being parallel to the vector $\vec{\nabla}S$ is defined

$$\vec{n} = (dr/d\ell, dz/d\ell)$$
 (Eq. D.8)

Together with Eq. D.4 follows

$$k \frac{dr}{d\ell} = \frac{\partial S}{\partial r}$$
; $k \frac{dz}{d\ell} = \frac{\partial S}{\partial z}$ (Eq. D.9)

with the operator (d/dl)

$$\frac{d}{d\ell} \left(k \frac{dr}{d\ell} \right) = \frac{\partial}{\partial r} \frac{dS}{d\ell}$$
 (Eq. D.10)

and with

$$\frac{dS}{d\ell} = \frac{\partial S}{\partial r} \frac{dr}{d\ell} + \frac{\partial S}{\partial z} \frac{dz}{d\ell}$$

one has by using Eq. D.9

$$\frac{dS}{d\ell} = \left(k \frac{dr}{d\ell}\right)^2 + k\left(\frac{dz}{d\ell}\right)^2 = k n^2$$
 (Eq. D.11)

with Eq. D.10 again

$$\frac{d}{d\ell} \left(k \frac{dr}{d\ell} \right) = \frac{d}{dr} \left(k \cos \theta \right) = 0$$
 (Eq. D.12)

Going along dl , i.e. a ray, one has

$$k(z) \cos \theta = \text{const.}$$
 or the Snell's law (Eq. D.13)

$$\cos \theta/c(z) = \cos \theta_0/c_0 = \text{const.}$$
 (Eq. D.14)

This law determines the variation of grazing angle $\,\theta\,$ (the angle relative to the horizontal) along the ray corresponding to the variation of sound

speed with depth z , and relating it to the corresponding quantities θ_o , C_o at the source. From this and Eqs. D.6, D.7 the ray equation is derived

$$r(z) = \int_{z_0}^{z} \frac{dz}{\sqrt{c_0/c(z) \cdot \cos \theta)^2 - 1}}$$
 (Eq. D.15)

for a ray coming from the source at a grazing angle θ_{0} . Solving this equation for a constant-gradient profile, yields:

$$r(z) = \int_{z_0}^{z} \frac{z dz}{\sqrt{\alpha^2 - z^2}} = -\sqrt{\alpha^2 - z^2}$$
 (Eq. D.16)

with

$$c(z) = bz$$

and

$$\alpha = \frac{\cos \theta_0}{b c_0}$$

This permits

$$\alpha^2 = r^2 + z^2 (Eq. D.17)$$

with proper choice of integration constants

Hence for a small gradient, the "rays" are circles with large radius, for a large gradient they are circles with small radius and for isovelocity profile the rays become straight lines. This concept can explain the sound channeling around the SOFAR channel axis.

Effects of boundary occur, when upward curving rays are reflected by the sea surface and downward rays are reflected by the sea bottom. Bottom reflections are treated by this concept assuming equal grazing angles of incidence and reflections, but with reduced amplitudes after reflection. The sea surface is almost a perfect reflector, for a low frequency (< 100 Hz), but the phase is changed by π after the reflection. If a ray has touched a caustic, which is a curve forming a geometrical envelope of rays, the phase is changed by $\pi/2$. In fact modified ray theory does consider the caustic correction.

In calculating the phase explicitly between source and receiver along the rays, Eq. D.4 yields by integrating over a ray-path.

$$S = \int kd \ell = \omega \int \frac{d\ell}{c} = \omega \int dt = \omega T$$
 (Eq. D.18)

where T is the travel time along the ray. Each ray amplitude has to have

a phase factor $exp(i\omega T)$ for coherent summation. In writing Eq. D.18 explicitly

$$wT = w \int \frac{dz}{c \sin \theta} = w \int \frac{dz}{c \sin \theta} (\sin \theta^2 + \cos^2 \theta)$$

$$= w \int \frac{\sin \theta}{c} dz + w \int \frac{\cos^2 \theta}{c \sin \theta} dz$$

$$= I_1 + I_2$$
(Eq. D.19)

$$I_1 = \omega \int \frac{dz}{c} \sqrt{1 - \cos^2 \theta}$$
 (Eq. D.19a)

and with

$$\cos \theta_0 = n \cos \theta$$

$$n = c_0/c(z)$$

$$k_0 = \omega/c_0$$

we have

$$I_1 = k_0 \int \sqrt{n^2 - \cos^2 \theta_0} dz$$
 (Eq. D.19b)

For the second integral

$$I_2 = \omega \int \frac{dz}{c \sin \theta} \cos^2 \theta$$
 (Eq. D.20a)

follows with, Eq. D.7, $dz/d\ell = \sin \theta$

$$I_2 = \omega \int \frac{\cos^2 \theta}{c} d\ell$$
 (Eq. D.20b)

With the horizontal component $k_r = k \cos \theta$ of the wavenumber $k = \omega/c$ one finds from Snell's law the horizontal component

$$k \cos \theta = k_0 \cos \theta_0 = \text{const.}$$

being range-independent, i.e. a constant along the ray. This yields for Eq. D.20b)

$$I_3 = \int k_r dr = (k_0 \cos \theta_0)r \qquad (Eq. D.20c)$$

The total phase along the ray can be expressed

$$wT = (k_0 \cos \theta_0) r + k_0 \int_{z_0}^{z} \sqrt{n^2 - \cos^2 \theta} dz$$

$$= \int k_r dr + \int k_z dz$$
(Eq. D.21)

where the vertical wavenumber

$$k_z = k \sin\theta = \frac{\omega}{c_0} \sqrt{n^2 - \cos^2 \theta}$$

is depth dependent through $n = c_0/c(z)$

From this the well known relation

$$k^2 = k_r^2 + k_7$$
 (Eq. D.22)

is found.

D.2 NORMAL MODE

With a monopole source at r=0 and $z=z_0$ the normal mode solution for the wave equation is

$$\nabla^2 p + kp = -\frac{\delta(r) \delta(z-z_0)}{2\pi r}$$
 (Eq. D.23)

with separation of variables, the solution is of the form

$$p(r,z) = \sum_{n} R_{n}(r) D_{n}(z)$$
 (Eq. D.24)

with some constants a_n and k_n we can take the range function

$$R_n(r) = a_n \frac{i}{4} H_0^{(1)} (k_n r)$$
 (Eq. D.25)

which is the Green's function of the wave equation, satisfying

$$\frac{1}{r}\frac{d}{dr}\left(r\frac{dR}{dr}\right) + k_n^{-2}R_n = -\frac{\delta(r)}{2\pi r}a_n$$
 (Eq. D.26)

and for cylindrical symmetry one has

$$\nabla^2 p = \frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial p}{r \partial} \right) + \frac{\partial^2 p}{\partial z^2}$$
 (Eq. D.27)

Inserting Eqs. D.25 and D.26 in Eqs. D.23 and D.24 yields

$$\sum_{n} \left[-k_{n}^{2} R_{n} D_{n}^{+} + R_{n} \frac{d^{2} D_{n}}{dz^{2}} + \frac{\omega^{2}}{c^{2}} R_{n} D_{n} \right]$$

$$= \frac{\delta(r)}{2} \sum_{n} a_{n} D_{n}(z) - \frac{\delta(r) \delta(z-z_{0})}{2\pi r}$$
(Eq. D.28)

The right hand side vanishes for

$$a_n = D_n(z_0)$$
 (Eq. D.29)

and using for depth-function $D_n(z)$

$$\sum_{n} D_{n}(z) D_{n}(z_{0}) = \delta(z-z_{0})$$
 (Eq. D.30)

This function satisfies the depth equation.

$$\frac{d^2 D_n}{dz^2} + \left(\frac{w^2}{c(z)} - k_n^2\right) D_n = 0$$
 (Eq. D.31)

This "eigenvalue equation" permits solutions for its characteristic "eigenvalues" ${\bf k}_{\bf n}$ under the constraint that

$$D_{n}(z_{s}) = D_{n}(z_{\infty}) = 0$$
 (Eq. D. 32)

The eigenvalue k_n forms a "discrete spectrum" or a continuum. The solution for an outgoing wave is now obtained from Eq.D.24.

$$p(r,z) = e^{-i\omega t} \frac{i}{4} \sum_{n=1}^{\infty} H_0^{(1)}(k_n r) D_n(z_0) D_n(z),$$
 (Eq. D.33)

known as normal mode series. At large ranges $r \rightarrow \infty$

$$H_0^{(1)}(k_n r) \rightarrow \sqrt{\frac{2}{\pi k_n r}} e^{i(k_n r - \frac{\pi}{4})}$$
 (Eq. D. 34)

corresponds to cylindrical waves, showing that the horizontal wave-numbers are constant, as they are in ray theory, too. In other words, they are range-independent. The ray-mode equivalence in terms of an "equivalent ray angle" may help interpretations. The horizontal wave number k_n for the mode solution is assumed to be equal to the horizontal wave number $k_r = \omega \cos \theta/c$ in ray theory.

Hence the $n^{\mbox{th}}$ normal mode might be associated with an "equivalent ray angle θ " of that mode by

$$\theta_{n}(z) = \cos^{-1} \frac{k_{n} c(z)}{\omega}$$
, (Eq. D.35)

showing the difference that at given depth $\,z\,$, arrivals in terms of rays are continuous and in terms of modes are a discrete set of arrivals. Introducing a vertical wavenumber one obtains a depth equation,

$$\frac{d^2}{dz^2} D_n(z) + k_V^2 D_n(z) = 0$$
 (Eq. D.36)

which can be solved analytically for certain profiles.

The Eq. D.31 might be rewritten by introducing the "potential function"

$$V(z) = k_0^2 - k^2(z)$$
 (Eq. D.37)

with $k_0 = \omega/c_0$ at channel axis and the new eigenvalue

$$E_{n} = k_{0}^{2} - k_{n}^{2}$$
 (Eq. D.38)

the form of the depth equation is found

$$\frac{d^2 D_n(z)}{dz^2} + \left[E_n - V(z) \right] D_n(z) = 0$$
 (Eq. D.39)

Hence for a given sound speed profile a related potential function exists. The infinity value of this potential determines the boundary between continuous and discrete mode propagation and is given by

$$V(\infty) = \omega^2 \left(\frac{1}{c_0^2} - \frac{1}{c_\infty^0} \right)$$
 (Eq. D.40)

The turning point for mode functions occurs if $E_n=V(z)$, whereas beyond this depth z the vertical wavenumber is negative and the depth function becomes exponentially decaying. For $E_n>V_\infty$ the waves are always travelling towards $z\to\infty$, hence leaking into the bottom. This is known as "leaky modes".

The mode cut-off occurs when $E_n > \omega^2/c_0^2$, which is higher than the margin of the continuum since the latter is given by $E_n > V_\infty$.

From this short description it is generally concluded that in shallow water the boundary conditions at the bottom might cause an early cutoff, and only few modes propagate. In deep water at very low frequencies only few modes are trapped in the "potential pot". In both cases ray theory cannot describe accurately the acoustic propagation.

APPENDIX E

LISTINGS OF THE COMPUTER PROGRAMS USED FOR THE SIGNAL PROCESSING

E.1 MAIN PROGRAM FOR OFF-LINE PROCESSING

The purpose of the off-line processing is described in Chapter 3. The following is a listing of its main program.

```
0001
     FTN4, L
0002
     $EMA(RBE, 5)
0003
           PROGRAM LUNDE(3,99), **** SPG BEAMFORMING 3/3/81
0004
     0005
0006
     C
           PROGRAM FOR CONVENTIONAL AND MAXIMUM LIKELIHOOD BEAMFORMING
0007
     C
           COMBINED WITH DIFFERENT METHODS FOR OWN VESSEL NOISE REDUCTION C
8000
     C
                                                                        C
0009
     С
           DVELOPED BY E.B. LUNDE (SPG)
                                                                        C
0010
                   MARCH 1981
                                                                        C
0011
0012
     0013
0014
           ARRAYS ARE DEFINED SO THAT THERE CAN BE MAXIMUM
0015
     C
           19 ARRAY HYDROPHONES + 1 REFERENCE HYDROPHONE,
0016
     C
           181 BEAMS, 10 FREQUENCIES, AND 10 PROCESSING MODES
0017
     C
0018
     C
              PARAMETER VALUES :
0019
     С
0020
     С
           NH - NUMBER OF HYDROPHONES
           NB - NUMBER OF BEAMS
0021
     C
0022
           NF - NUMBER OF FREQUENCY CELLS
     С
0023
     C
              - ARRAY WITH FREQUENCY VALUES
0024
     C
             - SOUND SPEED (m/sec)
           С
0025
             - HYDROPHONE SEPARATION (m)
     C
0026
           NA - NUMBER OF AVERAGE IN THE RAW MATRIX
     C
0027
     C
           NT - NUMBER OF TOTAL AVERAGES PER TAPE
0028
     C
0029
     С
        NH, NB, NF, F, A, C PARAMETERS WILL BE SET BY THE
0030
     C
           ACQUSITION PROGRAM AND WILL BE IN THE MAGNETIC
0031
     C
           TAPE HEADER BLOCK.
0032
     С
0033
     C
           PARAMETERS NA , NT WILL BE TYPED BY THE OPERATOR .
0034
0035
                      V. DUARTE (COM) 05/03/81/
0036
     0037
0038
0039
           DIMENSION R(400,2), E(400,2), EV(20,4)
0040
           DIMENSION BGR(182), PR(20, 6)
0041
           DIMENSION B(20,2), BE(20,2), BSQ(20)
0042
           DIMENSION NN(5), IDCB(144)
           DIMENSION TAB(181,2)
0043
0044
           DIMENSION P(182, 10), PSP(6)
0045
           DIMENSION F(10), KMAX(20), H(20, 2, 2)
0046
           DIMENSION IB(815), FIB(12), RB(183)
           DIMENSION RSP (36, 2), BSP (11, 11)
0047
0048
           EQUIVALENCE (P(1,1), E(1,1), IDCB(1)), (P(1,6), R(1,1))
           EQUIVALENCE (IB(1), RB(1), H(1,1,1)), (IB(19), FIB(1))
EQUIVALENCE (IB(161), B(1,1)), (IB(241), BE(1,1))
0049
0050
           EQUIVALENCE (IB(321), BSQ(1)), (IB(361), EV(1,1))
0051
0052
           EQUIVALENCE (IB(521), BGR(1)), (BSP(1,1), PR(1,1))
0053
     С
           COMMON/RBE/LREC(15), TREC(400), RF(2,4000),
0054
0055
          $BEW(4000,2), BSQW(4000)
```

```
0056 C
            DATA NP/10/
0057
0058
     0059
0060 C
0061
0062
     0063
0064
0065
         10 CONTINUE
            CALL EXEC (3, 407B)
0066
            CALL EXEC(1,7, IB, 527)
0067
            WRITE(1,1000) (IB(I), I=10,13)
8400
       1000 FORMAT(" TAPE HEADER : ",4A2,/," OK ? (YE/NO) _")
0069
0070
            READ(1,1001) IP
       1001 FORMAT(2A2)
0071
0072
            IF(IP. EQ. 2HYE) GO TO 30
            WRITE(1, 1002)
0073
       1002 FORMAT(/, " INSERT NEW TAPE AND TYPE "GO" TO PROCEED _")
0074
         20 CONTINUE
0075
0076
            READ(1,1001) IP
            IF(IP.EG. 2HGO) GO TO 10
0077
0078
            GO TO 20
0079
         30 CONTINUE
0080
            WRITE(1,1035)
0081
       1035 FORMAT(/, " MAG TAPE NUMBER ? ")
            READ(1,*) MGTP
0082
            NH = IB(16) - 1
0083
0084
            NB = IB(17)
0085
            NF=IB(18)
            A=FIB(NF+1)
0086
            C=FIB(NF+2)
0087
            WRITE(1, 1003) NH, NB, NF, A, C
0088
       1003 FORMAT(/, " NUMBER OF HYDROPHONES = ", I2, /,
0089
           $" NUMBER OF BEAMS = ", 13, /,
0090
           $" NUMBER OF FREQUENCIES = ", 12, /,
$" HYDROPHONE SEPARATION = ", F6. 4, /,
0091
0092
           $" SPEED OF SOUND (m/s) = ",F12.4,/)
0093
0094
            DO 40 I=1, NF
            F(I)=FIB(I)
0095
            WRITE(1,1004) I,F(I)
0096
       1004 FORMAT(2X, I2, 4X, F8. 4, " Hz ")
0097
0098
         40 CONTINUE
0099
            WRITE(1, 1005)
       1005 FORMAT(/, " OK ? (YE/NO) _")
0100
            READ(1,1001) IP
0101
0102
            IF (IP. NE. 2HYE) STOP 001
0103
       4141 CONTINUE
0104
            WRITE(1, 1006)
       1006 FORMAT(/, " ENTER STARTING BLOCK NUMBER ON M. T. _")
0105
            READ(1,*) IC
0106
0107
            IF(IC. GT. 11400) GO TO 4141
0108
       1106 CONTINUE
0109
            WRITE(1, 1007)
       1007 FORMAT(/,"
                        NUMBER OF AVERAGES ? _")
0110
            READ(1,*) NA
0111
            IF(NA. GE. 20) GD TO 50
0112
0113
            WRITE(1, 1107)
       1107 FORMAT(/,"
                        INPUT ERROR ", /, " 20<= NA <=600 ")
0114
            GO TO 1106
0115
         50 CONTINUE
0116
0117
            WRITE(1, 1008)
       1008 FORMAT(/, " NUMBER OF AVERAGES PER TAPE ? _")
0118
            READ(1, *) NT
0119
            IF(NT. LE. 30. AND. NT. GT. 0) GO TO 1108
0120
0121
            WRITE(1, 1118)
       1118 FORMAT(/,"
                        INPUT ERROR ", /, " O< NA*NT <= 600 ")
0122
0123
            GO TO 1106
0124
       1108 CONTINUE
```

```
0125 C
0127
          WRITE ON FILE " >LUNDE " THE FOLLOWING INFO. :
0128
    С
0129
    С
                NT - NUMBER OF AVARAGES PER TAPE
0130
    C
0131
     С
                NF -
                           OF FREQUENCIES
                NP -
                      ...
                           OF PROCESSINGS
0132
     C
                F1 - FREQUENCY # 1
0133
     C
0134
     C
                              " n
0135
     C
                Fn -
                                   FOR n=NF
0136
     C
          THIS FILE WILL BE READ BY THE PLOTTING PROGRAM
0137
     C
0138
    C
0139
     C
           CALL OPEN(IDCB, IERR, 6H>LUNDE)
0140
           IF(IERR. LT. 0) STOP 100
0141
0142
           CALL CODE
           WRITE(IB, 1109) NT, NF, NP
0143
0144
      1109 FORMAT (2X, 314)
0145
           CALL WRITF (IDCB, IERR, IB, 8)
0146
           IF(IERR. LT. O) STOP 101
0147
           CALL CODE
           WRITE(IB, 1119) (F(I), I=1, 10)
0148
      1119 FORMAT(10(2X,F6.2))
0149
0150
           CALL WRITF(IDCB, IERR, IB, NF*4)
           IF(IERR. LT. 0) STOP 102
0151
0152
           CALL CLOSE(IDCB)
0153
0154
     C
0155
           END OF INPUT PARAMETER PHASE [VD].
     C
0156
     C
0157
     0158
0159
0160
     С
           SOME USEFUL CONSTANTS
0161
     C
           NFF=NF+1
0162
0163
           NBE=NB+1
           NBR=NB-1
0164
0165
           NBH=NB/2+1
0166
           NHE=NH+1
0167
           NHD=NH*2
0168
           NHED=NHE*2
0169
           NHSQ=NH*NH
0170
           NHESG=NHE*NHE
           NSP=NH/2
0171
0172
           ALN10=ALOG(10.)
     C
0173
0174
     0175
     C
           CALCULATE TABLE USED FOR STEERING VECTOR GENERATION
0176
     С
0177
     C
0178
           PI=4. *ATAN(1.)
0179
           ARG=2. *PI/(1. *NBR)
0180
           SNH=1. /SGRT(1. *NH)
0181
           DO 81 JB=1, NB
           JBM=JB-1
0182
0183
           TAB(JB, 1)=COS(ARG*JBM)*SNH
0184
           TAB(JB, 2)=SIN(ARG*JBM)*SNH
0185
        81 CONTINUE
0186
     C
0188 C
           FIND THE MAG TAPE START POINT
0189
0190
     С
0191
           IF(IC.LE. 1) GO TO 83
0192
        82 CONTINUE
0193
           CALL EXEC(1,7, IB, 815)
0194
           IF(IB(1), EQ. IC) GO TO 83
0195
           GO TO 82
0196
        83 CONTINUE
0197 C
```

```
0198
     0199
0200
          START OF MAIN LOOP
0201
     С
0202
          DO 191 JT=1, NT
0203
0204
     0205
     С
0206
     C
          CLEAR THE CORRELATION MATRICES IN EMA
0207
     С
0208
          CALL WSMY(0., RF(1,1), 1, RF(1,1), 1,8000)
0209
     C
0210
     0211
     CCC
0212
     CCC
          READ RECORDS FROM TAPE
0213
     CCC
0214
          DO 101 JA=1, NA
0215
          CALL LEMA1(7, IERR)
0216
          IF(IERR.LT.O) STOP 555
0217
     CCC
0218
     0219
0220 C
          SUBTRACT 1/48 OF X(NH) FROM X(NHE), THIS BECAUSE OF
0221
    C
          THE METHOD USED IN THE BEAMFORMER TO DELAY X(NHE)
0222
     C
0223
          G=-1./48.
          DO 22 KA=1, 2
0224
0225
          LM=NHD+KA
0226
          LH=LM-2
0227
          CALL WPIV(G, TREC(LH), NHED, TREC(LM), NHED, TREC(LM), NHED, NF)
0228
       22 CONTINUE
0229
0530
     0231
0232
          CALCULATE THE OUTER PRODUCT OF THE VECTOR X
0233
    C
          AND ADD THE RESULTING MATRIX TO MATRIX RF
0234
     C
0235
          DO 23 JF=1, NF
0236
          LF=(JF-1)*NHED+1
          MF=(JF-1)*NHESQ+1
0237
0238
          CALL WVMOV(TREC(LF), 1, R(1, 1), 1, NHED)
0239
          DO 24 JH=1, NHE
          LH=(JH-1)*2
0240
0241
          LFH=LF+LH
0242
          MFH=MF+(JH-1)*NHE
0243
          YR=R(LH+1,1)
0244
          YI=R(LH+2,1)
0245
          CALL WPIV(YR, TREC(LF), 1, RF(1, MFH), 1, RF(1, MFH), 1, NHED)
          CALL WPIV(YI, TREC(LF+1), 2, RF(1, MFH), 2, RF(1, MFH), 2, NHE)
0246
0247
          CALL WPIV(-YI, TREC(LF), 2, RF(2, MFH), 2, RF(2, MFH), 2, NHE)
0248
       24 CONTINUE
0249
       23 CONTINUE
0250
       101 CONTINUE
0251
     0252
0253 C
0254
     С
          START OF FREQUENCY LOOP
0255
     С
0256
          DO 21 JF=1, NF
0257
0258
     0259
     C
0260
     С
          READ THE CORR MATRIX AT THE ACTUAL FREQUENCY FROM EMA
          TO THE MATRIX R, NORMALIZE AND MOVE IT TO MATRIX E
0261
     C
0262
0263
          LF=(JF-1)*NHESG+1
0264
          DO 115 KA=1,2
          CALL WVMOV(RF(KA, LF), 2, R(1, KA), 1, NHESQ)
0265
0266
      115 CONTINUE
0267
          CALL VSUM(TR, R(1, 1), NHE+1, NH)
```

```
POW=TR/(1. *NH)
0268
           POWI=1. /POW
0269
           DO 111 JH=1, NHE
0270
           1 H=(JH-1)*NHE+1
0271
           MH=(JH-1)*NH+1
0272
           CALL VSMY(POWI, R(LH, 1), 1, E(MH, 1), 1, NH)
0273
           CALL VSMY(-POWI, R(LH, 2), 1, E(MH, 2), 1, NH)
0274
       113 CONTINUE
0275
        111 CONTINUE
0276
0277
           POW=POW/(1. *NA)
           QS=R(NHESQ, 1)*POWI
0278
           CALL VSMY(100., E(1,1), NHE, PR(1,2), 1, NH)
0279
           PR (NHE, 2)=05*100.
0280
0281
           PR(NHE, 1)=POW
0282
0284
     C
           PRELIMINARY SPLIT BEAM PROCESSING
0285
     C
0286
     C
0287
           NN(1) = -NH + 1
           NN(2) = -NH + NSP * NH + NSP + 1
0288
           NN(3) = -NH + NSP + NH - NSP - 1
0289
           NN(4) = -NH + NSP * NH + 1
0290
           DD 701 JSP=1,4
0291
           LINC=NHE
0292
0293
           IF (JSP. EQ. 3) LINC =-NHE
0294
           LSP=(JSP-1)*NSP
           DO 702 JH=1, NSP
0295
0296
           NN(JSP)=NN(JSP)+NH
           NEL=NSP+1-JH
0297
0298
           IF (JSP. EQ. 3) NEL=JH-1
0299
           DD 703 KA=1,2
0300
           CALL VSUM(RSP(JH+LSP, KA), E(NN(JSP), KA), LINC, NEL)
0301
        703 CONTINUE
0302
        702 CONTINUE
0303
        701 CONTINUE
           WRITE(6,822) ((RSP(JSP,KA),KA=1,2),JSP=1,36)
     C
0304
0305
        822 FORMAT(2F10.3)
0306
      C
0307
      0308 C
0309
            USE A SUBROUTINE TO FIND EIGENVALUES AND EIGENVECTORS OF R
     C
            THE EIGENVALUES ARE STORED IN DECREASING ORDER IN VECTOR EV
0310
     С
            AND THE RESPECTIVEIGENVECTORS IN MATRIX E
0311
0312
      C
0313
            NH1=NH
0314
           CALL EIGEN(E(1,1),E(1,2),R(1,1),R(1,2),NHSQ,EV(1,1),NH1)
0315
            CALL VSMY(10., EV(1,1),1,PR(1,1),1,NH)
0316
     0317
0318 C
            INVERT THE EIGENVALUES
0319
      C
0320
      C
            CALL VSDV(1., EV(1,1), 1, EV(1,2), 1, NH)
0321
0322
      0323
0324
0325
     С
            START OF PRELIMINARY BEAM LOOP
0326
      C
0327
            DO 41 JB=1, NBE
0328
            IF (JB. EG. NBE) GO TO 223
0329
      C
0330
     C
            CREATE STEERING VECTOR FROM TABLE
0331
      C
0332
      C
0333
            NBH=NB/2+1
0334
            K=NBH-JB
0335
            IF (K. LT. O) K=K+NBR
0336
            L=0
0337
            DO 33 JH=1, NH
0338
            DO 32 KA=1, 2
0339
            B(JH, KA)=TAB(L+1, KA)
```

```
32 CONTINUE
0340
0341
           L=L+K
0342
           IF (L. GE. NBR) L=L-NBR
0343
        33 CONTINUE
0344
0346
           CALCULATE BEAM DIRECTION OR RELATIVE WAVENUMBER
0347
     C
0348
     C
           AL=A*F(JF)/C
0349
0350
           JJ=1
0351
           ARG=(NBH-JB)/(AL*NBR)
0352
        225 CONTINUE
0353
           AARG=ABS(ARG)
0354
           IF (AARG. LE. 1. ) GO TO 222
           BGR(JB)=-10. *AARG
0355
           GO TO 223
0356
        222 CONTINUE
0357
           ARH=SQRT(1. -ARG*ARG)
0358
           BGR(JB)=ATAN2(ARH, ARG)*180. /PI
0359
0360
        223 CONTINUE
0361
           IF (JJ. EG. 2) GO TO 224
     C
0362
     C
            JJ=2
           IF (JB. LE. NBH) ARG=ARG-1. /AL
0363
     C
0364
           IF (JB. GT. NBH) ARG=ARG+1. /AL
           GO TO 225
0365
     C
0366
     C
       224 CONTINUE
0367
     C
0369
     C
           CALCULATE THE VECTORS B'E, B'Q, Q'E, AND Q'Q, AND STORE IN EMA
0370
     С
0371
     С
0372
           IF (JB. NE. NBE) GO TO 47
0373
           DO 48 KA=1, 2
           CALL VMOV(E(NHSQ+1, KA), 1, B(1, KA), 1, NH)
0374
0375
     C
           NSB (NBE, KA)=0
0376
         48 CONTINUE
0377
         47 CONTINUE
0378
           LB=(JB-1)*NHE+1
0379
           DO 42 JH=1, NHE
0380
           LH=(JH-1)*NH+1
0381
           DO 43 KA=1,2
0382
           DO 44 KB=1.2
           CALL VDOT(H(JH, KA, KB), B(1, KA), 1, E(LH, KB), 1, NH)
0383
0384
         44 CONTINUE
         43 CONTINUE
0385
0386
         42 CONTINUE
0387
           CALL VADD(H(1,1,1),1,H(1,2,2),1,BE(1,1),1,NHE)
0388
           CALL VSUB(H(1, 2, 1), 1, H(1, 1, 2), 1, BE(1, 2), 1, NHE)
0389
           DO 45 KA=1,2
0390
           CALL VWMOV(BE(1, KA), 1, BEW(LB, KA), 1, NHE)
0391
         45 CONTINUE
0392
     0393
0394
0395
     С
           CALCULATE THE SQUARE AMPLITUDE OF EACH ELEMENT OF MATRIX BE
0396
     С
           AND STORE IN EMA
0397
     C
0398
           DO 46 KA=1,2
0399
           CALL VMPY(BE(1, KA), 1, BE(1, KA), 1, H(1, KA, 1), 1, NHE)
0400
         46 CONTINUE
0401
           CALL VADD(H(1,1,1),1,H(1,2,1),1,BSQ(1),1,NHE)
           CALL VWMOV(BSQ(1), 1, BSQW(LB), 1, NHE)
0402
0403
           P(JB, 7) = 100. *BSQ(1)
0404
           P(JB,8)=100.*BSQ(2)
0405
           P(JB, 9)=100. *BSQ(NHE)
0406
           IF (JB. LT. NBE) GO TO 715
0407
           QNRM=SQRT(BSQ(NHE))
0408
           QNRMI=1. /QNRM
0409
           CALL VSMY(QNRMI,P(1,9),1,P(1,9),1,NB)
0410
           CALL VSMY(GNRMI*100., BSQ(1), 1, PR(1, 5), 1, NHE)
0411
        715 CONTINUE
           WRITE(6,819) JB, WA, WB, (BSQ(JH), JH=1, NHE), JB
0412 C
```

```
0413 C 819 FORMAT(X, I3, 2F8, 1, 2X, 20F4, 3, 2X, I6)
0414
    0415
0416
           CONTINUE SPLIT BEAM PROCESSING
0417
    C
0418 C
0419
           DO 711 JSP=1,3
           NEL=NSP-1
0420
0421
           IF (JSP. EQ. 3) NEL=NSP*2-1
           LSP=(JSP-1)*NSP+1
0422
0423
           DO 712 KA=1, 2
0424
           DO 713 KB=1,2
0425
           CALL VDOT(H(JSP, KA, KB), B(2, KA), 1, RSP(LSP+1, KB), 1, NEL)
0426
       713 CONTINUE
0427
       712 CONTINUE
           PSP(JSP)=RSP(LSP,1)/(1.*NH)+2.*(H(JSP,1,1)-H(JSP,2,2))*SNH
0428
0429
       711 CONTINUE
           PSP(3) = PSP(3)/2.
0430
           PSP(4) = (H(3, 2, 1) + H(3, 1, 2)) *SNH
0431
0432
           PSP(5)=PSP(3)/SQRT(PSP(1)*PSP(2))
           PSP(6) = PSP(1) + PSP(2) + 2. *PSP(3)
0433
0434
           P(JB, 6) = PSP(3) * 100.
0435
           P(JB, 10)=1.
0436
           IF (BGR(JB), LT. O. ) GO TO 718
           DEL=ATAN2(PSP(4), PSP(3))/(2, *PI*AL*NSP)
0437
           DCOS=COS(BGR(JB)*PI/180.)
0438
0439
           RCOS=DCOS-DEL
           IF (ABS(RCOS), GT. 1.) GO TO 718
0440
0441
           RSIN=SQRT(1. -RCDS*RCDS)
0442
           P(JB, 10)=ATAN2(RSIN, RCOS)*180. /PI
0443
       718 CONTINUE
0444
           IF (ISSW(3), GE. 0) GO TO 719
           WRITE(6,817) JB, BGR(JB), (PSP(JM), JM=1,6), JB
0445
0446
       817 FORMAT(X, I3, 4X, F10, 2, 4X, 6F10, 4, 4X, I6)
0447
       719 CONTINUE
0448 C
0449
       41 CONTINUE
0450 C
           END OF PRELIMINARY BEAM LOOP
0451
     C
0452
0453
     WRITE(6,818) JA, JF, POW, QNRM, QS
0454
0455
       818 FORMAT(2110, 3F10. 3)
0456
           IF (ISSW(3). LT. 0) WRITE(6,535)
0457
     0458
0459
     C
0460
     C
           FIND THE BEAM-INDEX ASSOCIATED WITH EACH EIGENVALUE
0461
     C
0462
           DO 51 JH=1, NHE
           CALL WMAX (KMAX (JH), BSQW (JH), NHE, NB)
0463
           PR(JH, 3)=KMAX(JH)
0464
0465
           PR(JH, 4)=BGR(KMAX(JH))
0466
        51 CONTINUE
0467
0469
0470 C
           PRINT THE EIGENVALUES AND THE ASSOCIATED BEAM-INDECES
0471
0472
           WRITE(6,810) (JH, JH=1, NH)
     C
0473
           WRITE(1,810) (JH, JH=1, NH)
           WRITE(6,810) (KMAX(JH), JH=1, NH)
0474
     C
0475
           WRITE(1,810) (KMAX(JH),JH=1,NH)
0476
     C
           WRITE(6,810) (PR(JH,1), JH=1, NH)
0477
           WRITE(1,810) (PR(JH,1), JH=1, NH)
0478
       810 FORMAT(X, 1914)
0479
       811 FORMAT(X, F5. 3, 18F4. 3)
0480
0481
     0482
0483
           FIND REF BEAM DIRECTION
     C
0484
     C
```

```
0485
            BDEL=-1.
0486
            DO 56 JB=1. NB
0487
            IF (BGR(JB), LE. O. ) GO TO 56
0488
            ADEL=P(JB, 10)-BGR(JB)
0489
            IF (ADEL, LE. O. . AND. BDEL, GT. O. ) GO TO 57
0490
            BDEL=ADEL
0491
         56 CONTINUE
0492
         57 CONTINUE
0493
            KREF=JB
0494
            IF (BDEL. LT. -ADEL) KREF=JB-1
0495
     C
0496
     0497
0498
     C
            FIND THE EIGENVALUE(S) TO BE MODIFIED
0499
     С
            AND MODIFY THEM, THEN INVERT THEM
0500
     C
0501
            CALL VMOV(EV(1,1),1,EV(1,3),1,NH)
0502
            JJ=11
0503
            DO 444 JH=1, NH
0504
            IF (IABS(KMAX(JH)-KREF). GT. 3) GO TO 444
0505
            EV(JH, 3)=EV(NH, 3)
0506
            PR (JJ, 6)=JH
0507
            JJ=JJ+1
0508
        444 CONTINUE
0509
            CALL VSDV(1., EV(1,3), 1, EV(1,4), 1, NH)
0510
      0511
0512
0513
            CALCULATE CONVENTIONAL AND MAXIMUM LIKELIHOOD BEAMFORMRING
     C
0514
      С
0515
            DO 61 JB=1, NBF
0516
            LB=(JB-1)*NHE+1
0517
            CALL WVMOV(BSQW(LB), 1, BSQ(1), 1, NHE)
0518
            DO 62 JM=1,4
0519
            CALL VDOT(P(JB, JM), EV(1, JM), 1, BSQ(1), 1, NH)
0520
         62 CONTINUE
            DO 66 JH=1, NH
0521
0522
            KMAX(JH)=100. *BSQ(JH)
0523
            IF (JB. EQ. NBE) KMAX(JH)=100. *BSQ(JH)/QNRM
0524
         66 CONTINUE
0525
            KMAX(NHE)=100. *BSQ(NHE)/QNRM
0526
            IF (JB. EG. NBE) KMAX(NHE)=100. *BSQ(NHE)/(QNRM*QNRM)
            IF (ISSW(0). GE. 0) GO TO 69
0527
0528
            WRITE(6,815) JB, BGR(JB), (KMAX(JH), JH=1, NHE), JB
0529
        815 FORMAT(X, I3, 4X, F10, 2, 4X, 20I4, 4X, I6)
0530
         69 CONTINUE
0531
         61 CONTINUE
0532
            CALL VMIN(MIN, P(1, 1), 1, NB)
            CALL VSDV(1.,P(1,2),1,P(1,2),1,NB)
0533
0534
            CALL VSDV(1.,P(1,4),1,P(1,4),1,NB)
0535
            QR=QS-P(NBE, 2)
0536
            WRITE(6,845) QS, P(NBE, 2), QR
     C 845 FORMAT(3F10.3)
0537
0538
            IF (ISSW(0), LT. 0) WRITE(6,535)
0539
0540
     0541
      C
0542
      C
            MAIN PRINT OUTPUT
0543
      C
0544
            DO 536 KA=1,2
0545
            CALL VSMY(100., P(1, KA), 1, P(1, KA), 1, NB)
0546
        536 CONTINUE
0547
            PR(1,6) = IC
0548
            PR(2,6)=NA
0549
            PR(3,6)=JT
0550
            PR(4,6)=JF
0551
            PR(5,6)=F(JF)
0552
            PR(6,6)=POW
0553
            PR(7,6)=GNRM*100./(1.*NH)
0554
            PR(8,6)=QS*100.
0555
            PR(9,6)=QR*100.
0556
            PR(10,6)=BGR(KREF)
0557
            PR(20,6)=MGTP
```

```
0558
            PR(19,6)=C
0559
            PR(18,6)=F(JF)*10.
            PR(17,6)=A
0560
0561
            DO 876 JH=JJ, 16
0562
            PR(JH, 6)=0.
0563
        876 CONTINUE
0564
            WRITE(6,538)
        538 FORMAT(12X, 3(4X, " # BEARING CON MLM SPL BE1 BE2 BQ"))
0565
0566
            DO 531 I=1.3
0567
            M=(I-1)*2+1
0548
            DO 533 J=1,20
0569
            IJ=(I-1)*20+J
0570
            DO 534 K=1,3
            NN(K) = (K-1)*60+IJ
0571
0572
        534 CONTINUE
0573
            WRITE(6,9) J, PR(J, M), PR(J, M+1), (NN(K), BGR(NN(K)), P(NN(K), 10),
0574
             (P(NN(K),L),L=1,2),(P(NN(K),L),L=6,9),K=1,3)
0575
          9 FORMAT(314,3(4X,914))
0576
0577
        533 CONTINUE
0578
        531 CONTINUE
0579
            DO 537 KA=1,2
             CALL VSMY(. 01, P(1, KA), 1, P(1, KA), 1, NB)
0580
0581
        537 CONTINUE
            DO 676 JB=1.NB
0582
            PH=2.5*ALN10/(1.+.5*ABS(P(JB,10)-BGR(JB)))
0583
0584
            P(JB, 9) = EXP(PH*P(JB, 6)/250.)
0585
            P(JB, 10)=EXP(PH)
0586
        676 CONTINUE
0587
            WRITE(6,535)
0588
        535 FORMAT(1H1)
0589
      C
      0590
0591
      C
           SELECT REFERENCE BEAM DIRECTION AND DO REFERENCE
0592
0593
      C
           BEAM NOISE SUPPRESSION .
0594
      C
0595
      C
           NREF - REFERENCE BEAM NUMBER
0596
      C
0597
             NREF=KREF
0598
             LREF=(NREF-1)*NHE+1
0599
             DO 96 KA=1,2
0600
             CALL WVMOV(BEW(LREF, KA), 1, BE(1, KA), 1, NH)
0601
         96 CONTINUE
0602
             DO 97 JB=1, NB
            LB=(JB-1)*NHE+1
0603
             DO 98 KA=1,2
0604
0605
             CALL WVMOV(BEW(LB, KA), 1, B(1, KA), 1, NH)
0606
             DO 99 KB=1,2
0607
             CALL VMPY(B(1, KA), 1, BE(1, KB), 1, H(1, KA, KB), 1, NH)
0608
         99 CONTINUE
0609
         98 CONTINUE
0610
             CALL VADD(H(1,1,1),1,H(1,2,2),1,H(1,1,1),1,NH)
             CALL VSUB(H(1,1,2),1,H(1,2,1),1,H(1,2,1),1,NH)
0611
             DO 294 KM=1,2
0612
0613
             JM=KM+4
0614
             DO 293 KA=1,2
            CALL VDOT(H(KA, 1, 2), EV(1, KM), 1, H(1, KA, 1), 1, NH)
0615
        293 CONTINUE
0616
0617
             CALL VDOT(P(JB, JM), H(1, 1, 2), 1, H(1, 1, 2), 1, 2)
        294 CONTINUE
0618
0619
         97 CONTINUE
0620
            SC=1. /P(NREF, 1)
0621
             CALL VSMY(SC, P(1, 5), 1, P(1, 5), 1, NB)
            CALL VSMY(P(NREF, 2), P(1, 6), 1, P(1, 6), 1, NB)
0622
0623
             CALL VMPY(P(1,6),1,P(1,2),1,P(1,6),1,NB)
            CALL VMPY(P(1,6),1,P(1,2),1,P(1,6),1,NB)
0624
0625
            DO 292 KM=1,2
0626
             JM=KM+4
0627
            CALL VSUB(P(1, KM), 1, P(1, JM), 1, P(1, JM), 1, NB)
0628
        292 CONTINUE
0629 C
```

```
0631
0632
     C
            DO REFERENCE HYDROPHONE NOISE SUPPRESSION
0633 C
0634
            CALL VMOV(1., 0, EV(1, 1), 1, NH)
0635
            LREF=NB*NHE+1
0636
            DO 601 KA=1,2
0637
            CALL WVMOV(BEW(LREF, KA), 1, BE(1, KA), 1, NH)
0638
        601 CONTINUE
0639
            DO 602 JB=1, NB
0640
            LB=(JB-1)*NHE+1
0641
            DO 603 KA=1,2
0642
            CALL WVMOV(BEW(LB, KA), 1, B(1, KA), 1, NH)
0643
            DO 604 KB=1, 2
0644
            CALL VMPY(B(1, KA), 1, BE(1, KB), 1, H(1, KA, KB), 1, NH)
0645
        604 CONTINUE
0646
        603 CONTINUE
0647
            CALL VADD(H(1,1,1),1,H(1,2,2),1,H(1,1,1),1,NH)
0648
            CALL VSUB(H(1,1,2),1,H(1,2,1),1,H(1,2,1),1,NH)
0649
            DO 605 KM=1.2
0650
            JM=KM+6
0651
            DO 606 KA=1,2
            CALL VDOT(H(KA, 1, 2), EV(1, KM), 1, H(1, KA, 1), 1, NH)
0652
0653
        606 CONTINUE
0654
            CALL VDOT(P(JB, JM), H(1, 1, 2), 1, H(1, 1, 2), 1, 2)
0655
        605 CONTINUE
0656
        602 CONTINUE
0657
            SC=1./QS
0658
            CALL VSMY(SC, P(1, 7), 1, P(1, 7), 1, NB)
0659
            SC=-1. /QR
0660
            CALL VSMY(SC, P(1,8), 1, P(1,8), 1, NB)
0661
            CALL VSDV(1.,P(1,2),1,P(1,2),1,NB)
            DO 607 KM=1,2
0662
            JM=KM+6
0663
            CALL VSUB(P(1, KM), 1, P(1, JM), 1, P(1, JM), 1, NB)
0664
0665
        607 CONTINUE
            CALL VSDV(1.,P(1,2),1,P(1,2),1,NB)
0666
            CALL VSDV(1.,P(1,8),1,P(1,8),1,NB)
0667
0668
            IF (ISSW(1). GE. 0) GO TO 609
0669
            DO 281 JB=1, NB
0670
            WRITE(6,816) JB, BGR(JB), (P(JB, JM), JM=1,10), JB
0671
        816 FORMAT(X, I3, 4X, F10, 2, 4X, 10F10, 4, 4X, I6)
0672
        281 CONTINUE
0673
            WRITE(6,535)
0674
        609 CONTINUE
0675 C
0676
     0677
0678
     C
            MOVE P(JB, JM), JB=1, NB AND JM=1, 2 TO DISC
0679
      C
0880
            JT1=JT
0681
            IF(JT. GT. 16) JT1=JT-16
0682
            LD=(JF-1)*2
0683
            ISEC=(JT1-1)*6
0684
            ITRK1=LD*NP
0685
            DO 400 JD=1, NP
0686
            ITRK=ITRK1+(JD-1)*2
0687
            IF(JT. GT. 16) ITRK=ITRK+1
0988 C
            DO 500 ID=1, NB
0689 C
            RB(ID)=P(ID, JD)
0690 C 500 CONTINUE
0691
      C
            WRITE(6,599) (P(JB,JD), JB=1, NBE)
            CALL INTER(P(1, JD), RB(1), F(JF), NB)
0692
0693
            CALL VMAX(NMAX, RB(1), 1, NB)
            RB(182)=RB(NMAX)
0694
0695
            RB(183)=POW
            NBC=NB+2
0696
0697
            WRITE(6,599) (RB(JB), JB=1, NBC)
0698 C 599 FORMAT(10F8.3)
0699
            CALL EXEC(2, 14, IB, 384, ITRK, ISEC)
0700
        400 CONTINUE
```

```
0701 C
0702 21 CONTINUE
0703 C
0704 C
         END OF FREQUENCY LOOP
0705 C
    0706
0707
0708
0709
    С
0710
    С
        END OF MAIN LOOP
0711 C
     191 CONTINUE
0712
0713 C
    0714
0715
0716
    C
         ALL DATA ARE PROCESSED AND THE RESULTS ARE ON DISC
0717
0719
0720 C
         END OF MAIN PROGRAM
0721 C
0722
         IMERD=LREC(1)
0723
         WRITE(1,9191) IMERD
0724 9191 FORMAT(/," LAST BLOCK : ", 16)
0725 C
0726 C
0727
        END
 FTN4 COMPILER: HP92060-16092 REV. 2001 (791101)
** NO WARNINGS ** NO ERRORS ** PROGRAM = 10953
                                          COMMON = 00000
```

E.2 SUBROUTINE EIGEN

The EIGEN subroutine calculates the eigenvalues and eigenvectors of a Hermitian matrix. A listing of the subroutine follows.

```
0001
      FTN4, L
             SUBROUTINE EIGEN (ARE, AIM, ERE, EIM, ISIZE, EIGV, N)
0002
0003
             DIMENSION ARE(ISIZE), AIM(ISIZE), ERE(ISIZE), EIM(ISIZE)
             DIMENSION EIGV(20), H(20, 4)
0004
             INTEGER P.Q. NN(20)
0005
0006
      С
             DATA FOR BREAKING OFF
0007
8000
      С
0009
             NNN=N
0010
      C
             IOW=N
0011
0012 C
0013
             EPS=. 1E-9
0014
      С
0015
             D UNITY MATRIX ERE, EIM, FOR EIGENVECTORS
      C
0016
      C
0017
             DO 1 I=1, N
             DO 1 J=1, N
0018
0019
             IJ = (I-1) * N + J
0020
             JI=(J-i)*N+I
0021
             ERE(IJ)=0.
             EIM(IJ)=0.
0022
0023
             IF (I.GT.J) ARE(IJ) = ARE(JI) * ARE(JI) + AIM(JI) * AIM(JI)
0024
             CONTINUE
      1
0025
             DO 2 I=1, N
             K9=(I-1)*N+I
0026
             ERE(K9)=1.
0027
             CONTINUE
0028
      2
0029
      С
0030
      С
             BEGIN OF ITERATION
0031
      С
0032
             DO 100 IT=1,1000
      С
0033
0034
             COMPUTATION OF SQUARE SUM OF NONDIAGONAL ELEMENTS
      C
             AND DETERMINATION OF MAX NONDIAGONAL ELEMENT
0035
      C
0036
       C
0037
       C
             SW=0.
0038
             H(1,1)=0.
             DO 101 I=2, N
0039
0040
             NEL=I-1
0041
             IJ=(I-1)*N+1
0042
             CALL VMAX(NN(I), ARE(IJ), 1, NEL)
             H(I,1)=ARE(IJ-1+NN(I))
0043
0044
         101 CONTINUE
0045
             CALL VMAX(Q, H(1, 1), 1, N)
             P=NN(Q)
0046
0047
             SW=SGRT(H(Q, 1))
0048
             NNN=IT
0049
             IF(SW. LT. EPS) GOTO 899
0050
      C
0051
       C
             PIVOT-ELEMENT FOUND COMPUTATION ROTATION PARAMETERS
0052
      С
0053
             NP=N*P
0054
             NG=N*G
             NPP=NP-N+P
0055
0056
             NPG=NP-N+G
0057
             NGP=NG-N+P
0058
             NGQ=NG-N+Q
0059
             APP=ARE(NPP)
0060
             AGG=ARE (NGG)
0061
             APG=ARE (NPG)
0062
             BPG=AIM(NPG)
0063
             RD=SQRT(APQ*APQ+BPQ*BPQ)
0064
             ROD=2. *RO
0065
             C2X=(AGG-APP)/ROD
0066
             VZ=-1.
```

```
0067
              IF(C2X. GE. 0) VZ=1.
8400
              CX=C2X+VZ*SQRT(C2X*C2X+1.)
0069
              C2X=CX*CX
0070
              CC=C2X/(1. +C2X)
0071
              C=SQRT(CC)
0072
              S=C/CX
0073
              SS=S*S
0074
              SC=S*C
0075
              SR=APG*S/RO
0076
              SI=-BPQ*S/RO
0077
0078
       C
              ROTATION
0079
0080
              NEL=P-1
0081
              IP=P
0082
              IG=G
0083
              CALL VSMY(SI, AIM(IQ), N, H(1, 1), 1, NEL)
0084
              CALL VSMY(-SR, AIM(IQ), N, H(1, 2), 1, NEL)
0085
              CALL VSMY(SI, AIM(IP), N, H(1, 3), 1, NEL)
0086
              CALL VSMY(SR, AIM(IP), N, H(1, 4), 1, NEL)
0087
              CALL VPIV(-SR, ARE(IQ), N, H(1, 1), 1, H(1, 1), 1, NEL)
0088
              CALL VPIV(-SI, ARE(IQ), N, H(1, 2), 1, H(1, 2), 1, NEL)
0089
              CALL VPIV(SR, ARE(IP), N, H(1, 3), 1, H(1, 3), 1, NEL)
0090
              CALL VPIV(-SI, ARE(IP), N, H(1, 4), 1, H(1, 4), 1, NEL)
0091
              CALL VPIV(C, ARE(IP), N, H(1, 1), 1, ARE(IP), N, NEL)
0092
              CALL VPIV(C, AIM(IP), N, H(1, 2), 1, AIM(IP), N, NEL)
0093
              CALL VPIV(C, ARE(IQ), N, H(1, 3), 1, ARE(IQ), N, NEL)
0094
              CALL VPIV(C, AIM(IQ), N, H(1, 4), 1, AIM(IQ), N, NEL)
0095
              JP=NP-N+1
0096
              JG=NQ-N+1
0097
              CALL VMPY(ARE(IP), N, ARE(IP), N, ARE(JP), 1, NEL)
0098
              CALL VMPY(AIM(IP), N, AIM(IP), N, AIM(JP), 1, NEL)
0099
              CALL VMPY(ARE(IQ), N, ARE(IQ), N, ARE(JQ), 1, NEL)
0100
              CALL VMPY(AIM(IQ), N, AIM(IQ), N, AIM(JQ), 1, NEL)
0101
              CALL VADD(ARE(JP), 1, AIM(JP), 1, ARE(JP), 1, NEL)
0102
              CALL VADD(ARE(JQ), 1, AIM(JQ), 1, ARE(JQ), 1, NEL)
0103
              NEL=G-P-1
0104
              IP=NPP+1
0105
              IG=NP+G
0106
              CALL VSMY(SI, AIM(IQ), N, H(1, 1), 1, NEL)
0107
              CALL VSMY(SR, AIM(IQ), N, H(1, 2), 1, NEL)
0108
              CALL VSMY(-SI, AIM(IP), 1, H(1, 3), 1, NEL)
0109
              CALL VSMY(-SR, AIM(IP), 1, H(1, 4), 1, NEL)
0110
              CALL VPIV(-SR, ARE(IQ), N, H(1, 1), 1, H(1, 1), 1, NEL)
0111
              CALL VPIV(SI, ARE(IG), N, H(1, 2), 1, H(1, 2), 1, NEL)
0112
              CALL VPIV(SR, ARE(IP), 1, H(1, 3), 1, H(1, 3), 1, NEL)
0113
              CALL VPIV(-SI, ARE(IP), 1, H(1, 4), 1, H(1, 4), 1, NEL)
0114
              CALL VPIV(C, ARE(IP), 1, H(1, 1), 1, ARE(IP), 1, NEL)
              CALL VPIV(C, AIM(IP), 1, H(1, 2), 1, AIM(IP), 1, NEL)
0115
0116
              CALL VPIV(C, ARE(IQ), N, H(1, 3), 1, ARE(IQ), N, NEL)
0117
              CALL VPIV(C, AIM(IQ), N, H(1, 4), 1, AIM(IQ), N, NEL)
0118
              JP=NP+P
0119
              JG=NGP+1
0120
              CALL VMPY(ARE(IP), 1, ARE(IP), 1, ARE(JP), N, NEL)
0121
              CALL VMPY(AIM(IP), 1, AIM(IP), 1, AIM(JP), N, NEL)
0122
              CALL VMPY(ARE(IQ), N, ARE(IQ), N, ARE(JQ), 1, NEL)
0123
              CALL VMPY(AIM(IQ), N, AIM(IQ), N, AIM(JQ), 1, NEL)
0124
              CALL VADD(ARE(JP), N, AIM(JP), N, ARE(JP), N, NEL)
0125
              CALL VADD (ARE (JQ), 1, AIM (JQ), 1, ARE (JQ), 1, NEL)
0126
              NEL=N-G
0127
              IP=NPQ+1
0128
              IQ=NQQ+1
0129
              CALL VSMY(-SI, AIM(IQ), 1, H(1, 1), 1, NEL)
              CALL VSMY(-SR, AIM(IQ), 1, H(1, 2), 1, NEL)
0130
0131
              CALL VSMY(-SI, AIM(IP), 1, H(1, 3), 1, NEL)
0132
              CALL VSMY(SR, AIM(IP), 1, H(1, 4), 1, NEL)
0133
              CALL VPIV(-SR, ARE(IQ), 1, H(1, 1), 1, H(1, 1), 1, NEL)
              CALL VPIV(SI, ARE(IQ), 1, H(1, 2), 1, H(1, 2), 1, NEL)
0134
0135
              CALL VPIV(SR, ARE(IP), 1, H(1, 3), 1, H(1, 3), 1, NEL)
              CALL VPIV(SI, ARE(IP), 1, H(1, 4), 1, H(1, 4), 1, NEL)
0136
0137
              CALL VPIV(C, ARE(IP), 1, H(1, 1), 1, ARE(IP), 1, NEL)
              CALL VPIV(C, AIM(IP), 1, H(1, 2), 1, AIM(IP), 1, NEL)
0138
```

```
0139
             CALL VPIV(C, ARE(IQ), 1, H(1, 3), 1, ARE(IQ), 1, NEL)
0140
             CALL VPIV(C, AIM(IQ), 1, H(1, 4), 1, AIM(IQ), 1, NEL)
0141
             JP=NG+P
0142
             JG=NG+G
0143
             CALL VMPY(ARE(IP), 1, ARE(IP), 1, ARE(JP), N, NEL)
             CALL VMPY(AIM(IP), 1, AIM(IP), 1, AIM(JP), N, NEL)
0144
0145
             CALL VMPY(ARE(IQ), 1, ARE(IQ), 1, ARE(JQ), N, NEL)
0146
             CALL VMPY(AIM(IQ), 1, AIM(IQ), 1, AIM(JQ), N, NEL)
0147
             CALL VADD (ARE (JP), N, AIM (JP), N, ARE (JP), N, NEL)
0148
             CALL VADD (ARE (JQ), N, AIM (JQ), N, ARE (JQ), N, NEL)
0149
0150 C
          E. B. L. 18/02/82
0151
0152
             ARE(NPP)=APP*CC+AGG*SS-ROD*SC
0153
             ARE(NGQ)=APP*SS+AGG*CC+ROD*SC
0154
             ARE(NPG)=0.
0155
             AIM(NPG)=0.
0156
             ARE(NGP)=0.
0157
0158
      C
             COMPUTATION OF EIGENVECTORS
0159
0160
             IP=NP-N+1
0161
             IQ=NQ-N+1
0162
             CALL VSMY(SI, EIM(IQ), 1, H(1, 1), 1, N)
             CALL VSMY(-SR, EIM(IQ), 1, H(1, 2), 1, N)
0163
0164
             CALL VSMY(SI, EIM(IP), 1, H(1, 3), 1, N)
0165
              CALL VSMY(SR, EIM(IP), 1, H(1, 4), 1, N)
              CALL VPIV(-SR, ERE(IQ), 1, H(1, 1), 1, H(1, 1), 1, N)
0166
0167
              CALL VPIV(-SI, ERE(IQ), 1, H(1, 2), 1, H(1, 2), 1, N)
              CALL VPIV(SR, ERE(IP), 1, H(1, 3), 1, H(1, 3), 1, N)
0168
0169
              CALL VPIV(-SI, ERE(IP), 1, H(1, 4), 1, H(1, 4), 1, N)
0170
              CALL VPIV(C, ERE(IP), 1, H(1, 1), 1, ERE(IP), 1, N)
              CALL VPIV(C, EIM(IP), 1, H(1, 2), 1, EIM(IP), 1, N)
0171
0172
              CALL VPIV(C, ERE(IQ), 1, H(1, 3), 1, ERE(IQ), 1, N)
0173
              CALL VPIV(C, EIM(IQ), 1, H(1, 4), 1, EIM(IQ), 1, N)
        1006 FORMAT(2X, 'ARE=', 4(F10. 3, 3X), 5X, 'AIM=', 4(F10. 3, 3X))
0174
        1007 FORMAT(2X, 'ERE=', 4(F10. 3, 3X), 5X, 'EIM=', 4(F10. 3, 3X))
0175
0176
              ROTATION END
0177
       C
0178
       100
              CONTINUE
0179
      C
0180
              200 ITERATIONS PERFORMED SET N=-N
0181
       С
0182
              NNN=-N
0183
       C
              WRITE(1, 1002) NNN
0184
       C1002 FORMAT(10X, 'NNN=-N=', I3)
0185
       C
0186
       C
              SORT OF EIGENVALUES WITH INCREASING ABS VALUES
0187
       899
0188
              LL=1
0189
       900
              AK=-1. E04
0190
              DO 901 I=1.N
0191
              K2=(I-1)*N+I
0192
       901
              AK=AMAX1(AK, ARE(K2))
              DO 902 I=1, N
0193
0194
              K1 = (I-1) * N + I
0195
              IF(AK. EG. ARE(K1)) GOTO 903
0196
       902
              CONTINUE
0197
0198
       C
              FOUND STORE IN EIGY MARC POSITION
0199
0200
       903
              NN(LL)=I
0201
              EIGV(LL)=AK
0202
              LL=LL+1
0203
              K11=(I-1)*N+I
0204
              ARE(K11)=-1. E05
              IF(LL-N) 900, 900, 904
0205
0206
              EIGENVALUES ARE SORTED IN EIGV. SORT OF COLUMNS
0207
      С
0208
       C
              OF ERE, EIM IN THE SAME ORDER AND STORE IN ARE, AIM.
0209
       C
```

```
0210 904
            DO 905 I=1.N
0211
            KO = (I-1)*N+1
0212
            K10=(NN(I)-1)*N+1
0213
            CALL VMOV(ERE(K10), 1, ARE(K0), 1, N)
0214
            CALL VSMY(-1., EIM(K10), 1, AIM(K0), 1, N)
0215 905
            CONTINUE
0216
            N=NNN
            ICL=15414B
0217
0218
            IBL=3407B
0219
            CALL EXEC(2, 1001B, ICL, 1)
0220
            CALL EXEC(2, 1001B, IBL, 1)
            WRITE(1,1003) N
0221
       1003 FORMAT(10X, 'OUT OF EIGEN N=NNN=', I3)
0555
0223
            WRITE(1,2004) (EIGV(I), I=1, IOW)
0224
      2004 FORMAT(5X, 'EIGV= ',F15.5)
0225 C
0226 C
            RETURN BREAKING OFF
0227 C
0228
            RETURN
0229
            END
  FTN4 COMPILER: HP92060-16092 REV. 2001 (791101)
  ** NO WARNINGS ** NO ERRORS ** PROGRAM = 02935
                                                           COMMON = 00000
```

E.3 ASSEMBLER PROGRAM FOR DATA TRANSFER

The following is a listing of a program for transferring data from magnetic tape into a part of the off-line HP computer memory called "EMA".

```
0001
                   ASMB, B, L, T
                                    **** COM, V. DUARTE REV. 00 05/03/81 ****
0002 00000
                        NAM LEMA1, 7
                        ENT LEMA1
EXT . EMAP, . ENTR, EXEC
0003
0004
                        EXT RBE
0005
0006*
0008*
0009*
            COM
0010*
                                MARCH 81
0011*
                    V. DUARTE
                                            REV 00
0012*
0013*
           CALLING SEQUENCE :
0014*
0015*
                  CALL LEMA1(LU, IERR)
0016*
                     LU - MAGNETIC TAPE LOGIC UNIT
0017*
                     IERR - RETURN IF ERROR
0018*
0019*
0020*
          PURPOSE :
0021*
0022*
              ROUTINE TO TRANSFER DATA FROM MAGNETIC TAPE INTO
         AN "EMA" ARRAY. "EMA" ARRAY SHOULD BE DECLARED AS THE
0023*
         FIRST OF THE "EMA" AREA.
0025*
0027*
0028 00000 000000 LU
                         NOP
                                        MT LOGIC UNIT
                  IERR
                         NOP
0029
     00001 000000
                                        ERROR RETURN
     000002 0000000
                  LEMA1 NOP
                                        ROUTINE ENTRY POINT
0030
     00003 016002X
                         JSB . ENTR
                                        GET INPUT PARAMETER
0031
     00004 000000R
                         DEF LU
0032
                         JSB . EMAP
DEF *+4
     00005 016001X
0033
                                        GET USER MAP ADDRESS
     00006 000012R
0034
     00007 000004X
                         DEF RBE
                                        OF CORRESPONDENT EMA
0035
0036
     00010 000032R
                         DEF TABLE
                                        AREA ARRAY
     00011 000037R
0037
                         DEF INDEX
                         JMP ERROR
0038
     00012 026030R RTN
                                        SEND ERROR MESSAGE TO MAIN
                         STB BUF
0039
     00013 076041R
                                        SAVE ADDRESS
0040
     00014 162000R
                         LDA LU, I
                                        PREPARE CONTROL WORD
     00015 042043R
0041
                         ADA =B100
                                        FOR EXEC CALL AS BINARY READ
     00016 072000R
                         STA LU
0042
0043
     00017 016003X
                         JSB EXEC
                                        READ DATA FROM MT
     00020 000025R
0044
                         DEF *+5
0045
     00021 000040R
                         DEF CODE
     00022 000000R
                         DEF LU
0046
0047
     00023 100041R
                         DEF BUF, I
0048 00024 000042R
                         DEF NWORD
     00025 002400
0049
                         CLA
0050 00026 172001R ENDI
                         STA IERR, I
0051
     00027 126002R
                         JMP LEMA1, I
0052
     00030 003400 ERROR CCA
     00031 026026R
0053
                         JMP ENDI
0054
     00032 000001 TABLE DEC 1
0055
     00033 177777
                         DEC -1
     00034 000001
0056
                         DEC
     00035 000000
0057
                         DEC 0
0058
     00036 000000
                         DEC O
                  INDEX DEC 1
0059
      00037 000001
0060
      00040 000001
                   CODE
                         DEC 1
      00041 000000
                   BUF
0061
                         NOP
                   NWORD DEC 815
0062
      00042 001457
      00043 000100
0063
                         END
** NO ERRORS *TOTAL **RTE ASMB 92067-16011**
```

E.4 PROGRAM FOR ON-LINE PROCESSING

The purpose of the on-line processing is described in Chapter 2. The following is a listing of the program.

&SPMBM T=00004 IS DN CR00013 USING 00056 BLKS R=0000

```
0001
     FTN4, L
      $EMA(IBEMA, 5)
0002
0003
            PROGRAM SUPVR
            DIMENSION SF(20), NDXSF(20)
0004
            DIMENSION IHEAD(15), IB1(4), RFG(22)
0005
0006 C
            DIMENSION ITIME(10)
            DIMENSION POWR(184), NAM1(3), IFQ(2)
0007
            DIMENSION IDCB(10)
8000
0009
            COMMON /IBEMA/ IBB(20480)
0010
            COMPLEX CX
            COMMON LUMT, IHEAD, CX(400)
0011
0012
            EQUIVALENCE (IB1(1), CX(1)), (RFG(1), IB1(4))
            EQUIVALENCE (IFG(1), SF(1))
0013
            EQUIVALENCE (AFRST, IDCB(3)), (ALAST, IDCB(5)), (DBMIN, IDCB(7)),
0014
                         (DBMAX, IDCB(9)), (NPTS, POWR(1)), (PMAX, POWR(2))
0015
            DATA IDCB(1), DBMIN, DBMAX/0, -30. 0, 0. 0/
0016
            DATA NAM1/2HBF, 2HPL, 2HT
0017
             DATA IBUS2, IBUS3/128, 192/
0018
0019
             DATA IEX, IST/2HEX, 2HST/
            DO 15 K=1, 15
0020
0021
             IHEAD(K)=0
     15
0022
             LUMT=10
0023
      10
             WRITE(1, 100)
      100
             FORMAT ('NUMBER OF SAMPLES (POWER OF 2)?')
0024
0025
             READ(1,*) NS
0026
             I=1
0027
      20
             IF(I-NS) 25,40,30
0028
             I=I+I
     25
0029
             GOTO 20
0030
      30
             WRITE(1, 200)
            FORMAT ('NUMBER OF SAMPLES MUST BE A POWER OF 2'/
0031
      200
                    'PLEASE ENTER A NEW VALUE')
0032
0033
             GOTO 10
             RNS=NS
0034
      40
             WRITE(1,300)
0035
      300
             FORMAT ('NUMBER OF HYDROPHONES, SPACING (METRES)?')
0036
0037
             READ(1,*) NH, D
0038
             IF(NH . LE. 0) GOTO 10
             RNH=NH
0039
0040
             BS=RNS*RNH
             IF(BS . LE. 40960. 0) QOTO 45
0041
0042
             WRITE(1,400)
0043
      400
             FORMAT('CAPACITY OF MAP MEMORY BUS 2 EXCEEDED'/
            * 'REDUCE NUMBER OF SAMPLES AND/OR HYDROPHONES')
0044
0045
             GOTO 40
             WRITE(1, 450)
0046
      45
             FORMAT('NUMBER OF BEAMS, INITIAL ANGLE & FINAL ANGLE(DEGREES)?')
0047
      450
0048
             READ(1,*) NBMS, AFRST, ALAST
0049
             COMPUTE SPACE REQUIRED FOR CO-VARIANCE MATRICES
0050
      C
             AND STEERING VECTORS FOR A SINGLE FREQUENCY
0051
      C
0052
0053
             SP=2. O*RNH*(NH+NBMS)-2*NBMS
0054
             IF(SP+B8 . LE. 40960. 0) GOTO 46
0055
             WRITE(1,470)
0056
      470
             FORMAT('NO SPACE FOR CO-VARIANCE MATRICES & STEERING VECTORS')
0057
             CALL EXEC(6)
0058
      46
             WRITE(1, 460)
0059
      460
             FORMAT('SAMPLING FREQUENCY?')
0060
             READ(1, *) SFG
0061
             DF=SFG/NS
             FQMAX=DF*(NS/2-1)
0062
0063
      50
             M=40960. 0-BS
```

```
0064
            NN=2*NH*NH
            NN=SIZE OF EACH CO-VARIANCE MATRIX
0065
      C
0066
0067
      60
            WRITE(1,600)
            FORMAT ('HOW MANY FREQUENCIES DO YOU WISH TO SELECT?')
0068
      600
            READ(1, #) NF
0059
            IF(NF .LE. 0) GOTO 40
IF(NF .LE. L) GOTO 70
0070
0071
0072
            WRITE(1,700)
      700
            FORMAT('MAXIMUM NUMBER OF FRQUENCIES EXCEEDED'/
0073
               'PLEASE ENTER A NEW VALUE')
0074
0075
            GOTO 60
            RNF=NF
0076
      70
            WRITE(1,800) DF, FGMAX
0077
            FORMAT('FREQUENCY RESOLUTION =', FB. 2, ' HZ'/
0078
      800
               'MAXIMUM FREQUENCY IS ', F6. 1, ' HZ'/
0079
              'INPUT SELECTED FREQUENCIES USING FREE FIELD FORMAT'/
0080
0081
               'USE SPACE OR COMMA TO SEPARATE DATA ITEMS'/
0082
               'TERMINATE EACH LINE(EXCEPT THE LAST ONE) BY /'/
0083
              'FIRST FREQUENCY SPECIFIED SHOULD BE THE ONE'/
           * 'FOR IMMEDIATE PROCESSING AND DISPLAY')
0084
0085
            READ(1, *) (SF(I), I=1, NF)
9800
            DO 72 I=1, NF
            IF(SF(I) . GT. FGMAX) GOTO 73
0087
            K=SF(I)/DF+0.5
0088
0089
            SF(I)=K*DF
            NDXSF(I)=K+1
0090
0091
      72
            CONTINUE
0092
            GOTO 74
0093
      73
            WRITE(1, 480)
            FORMAT('MAXIMUM FREQUENCY EXCEEDED'/
0094
      480
0095
           * 'PLEASE SELECT A NEW SET')
0096
            GOTO 70
      74
0097
            WRITE(1,500)
            FORMAT('SPECIFY PROCESSING TYPE'/'1=CBF'/'2=CBS'/'3=MLM'/'4=MLS')
0098
      500
0099
            READ(1,*) ITYPE
0100
            IF(ITYPE .LT. 1 .OR. ITYPE .GT. 4) GOTO 74
0101
            WRITE(1, 1000)
0102
      1000
            FORMAT('NUMBER OF DATA ACQUISITIONS?')
0103
            READ(1.*) NA
0104
0105 C
            PASS FIRST BUFFER TO PLOT PROGRAM
0106
     C
            THEN SCHEDULE PLOT PROGRAM
0107
      С
0108
            NPTS=NBMS
0109
            ILEN=2*(NBMS+3)
0110
            ICLS=0
0111
            CALL EXEC(20, 0, IDCB, 10, 0, 0, ICLS)
0112
            CALL EXEC(10, NAM1, ICLS, ITYPE, IFQ(1), IFQ(2), ILEN)
0113 C
            WRITE(1,75)
0114
            FORMAT('RUN-ID(1-8 CHARS)?')
0115
      75
0116
            READ(1,76) (IHEAD(K), K=10,13)
0117
      76
            FORMAT (4A2)
0118 D
            WRITE(6, 950)
0119
      D950
            FORMAT(1H , 'FREQUENCY
                                       INDEX ()
0120 D
            DO 75 I=1.NF
0121
            WRITE(6,980) SF(I), NDXSF(I)
     D
0122
      D980
            FORMAT(1H , F9. 1, 19)
0123 D75
            CONTINUE
            WRITE(1, 1100)
0124
0125
      1100
           FORMAT('TO TERMINATE THE PROGRAM HIT ANY KEY'/
                    'THEN GIVE THE COMMAND BR, SUPVR')
0126
0127
            THE DATA ACQUISITION PROGRAM IS EXPECTED TO PLACE INFORMATION
0128 C
            INTO THE FIRST NS*NH WORDS(32 BITS EACH) OF MEMORY BUS 2
0129
     C
            SPACE FOR COVARIANCE MATRIX IS ALLOCATED AT HIGH END OF BUS 2
0130
     C
            BUT LAST WORD IS LEFT FREE TO AVOID BUFFER ADDRESS OUTSIDE LIMITS
0131
0132
0133
            BSCV=NN
0134
            BACV=81918, 0-2, 0*BSCV
0135
            CALL MPOPN(6)
```

```
0136
0137
             SET UP THE FOLLOWING CONSTANTS IN THE SCALAR TABLE
0138
      C
             50 = RNH*RNH, USED IN MLM & MLS CALCULATIONS
0139
      C
             51 = 1.0/(RNH-1.0), USED IN CALCULATING AVERAGE POWER
0140
      C
             52-53 = CMPLX(1.0,0.0), USED BY CSDOT
0141
      C
             54 = 1.0/(NA*NS*NS), USED TO NORMALISE CO-VARIANCE MATRIX
             55 = 100.0, USED TO SCALE RESULTS BEFORE PRINTING
0142
      C
0143
      C
0144
             CX(1)=CMPLX(RNH*RNH, 1.0/(RNH-1.0))
0145
             CX(2)=1.0
             CX(3)=CMPLX(1.0/(NA*RNS*RNS),100.0)
0146
0147
             CALL MPWST(50, CX, 6, 1)
0148
0149
      C
             CALCULATION OF STEERING VECTORS
0150
      C
0151
             PI=3.14159265
0152
             AINC=(ALAST-AFRST)/(NBMS-1)
0153
             A=AFRST
0154
      C
0155
             BSSV=2. 0*(RNH-1. 0)*NBMS
0156
             BASV=BACV-2. O*BSSV
0157
             WRITE(6,86) BASV
0158 D86
             FORMAT( ' STEERING VECTORS START AT BUS2 ADDRESS: ', F6. 1)
0159
             LROW=NBMS
             ROWL=LROW
0160
0161
0162
             CONFIGURE FIRST ROW AS A COMPLEX VECTOR
      C
0163 C
             AND FILL WITH THE CONSTANT (1.0,0.0)
0164
0165
             CALL MPCLB(21, BASV, ROWL, 1, NH-1, 0)
0166
             DO 210 I=1, LROW
             CX(I)=1.0
0167
0168 210
             CONTINUE
0169
             CALL MPWDB (21, CX, 1, 1)
0170
             CONFIGURE SECOND ROW AND INITIALIZE TO
0171
      C
             EXP(J*2*PI*F*D/C*COS(ANGLE))
0172
      C
0173
      C
             BA=BASV+4. 0
0174
0175
             CALL MPCLB(21, BA, ROWL, 1, NH-1, 0)
0176
             K=1
             CONST=2. O*PI*D*SF(1)/1500. O
0177
0178
             DO 220 J=1, NBMS
0179
             F=CONST*COS(PI*A/180.0)
0180
             CX(K)=CMPLX(COS(F),SIN(F))
0181
             K=K+1
0182
             A=A+AINC
0183 220
             CONTINUE
0184
             CALL MPWDB(21, CX, 1, 1)
      C
0185
0186
      C
             SET UP REMAINING ROWS OF MATRIX
             ROW(K)=ROW(2)*ROW(K-1)
0187
      C
0188
             CALL MPEQB(22, 21)
0189
0190
             LST=NH-1
0191
             DO 240 I=3, LST
0192
             BA=BA+4. 0
0193
             CALL MPCLB(23, BA, ROWL, 1, NH-1, 0)
0194
             CALL CVMUL(23, 0, 21, 0, 22)
0195
             CALL MPEGB (22, 23)
0196
      240
             CONTINUE
0197
      C
0198
      С
             SET UP COSINE TABLE ON BUS 3 FOR USE BY FFT FUNCTION
0199
0200
             CTS=NS/2
0201
             CALL MPCLB(30, 0, 0, CTS, 0, 1, 0)
0202
             CALL VCOST(30, CTS)
0203
      C
             SET LST TO NO. OF HYDROPHONES-1
0204
             SET RNK=NO. OF 16-BIT HALFWORDS PER COMPLETE COVARIANCE MATRIX
0205
      C
0206
      C
             SET SNK=NO. OF 16-BIT HALFWORDS PER EXTRACTED COVARIANCE MATRIX
             INITIALIZE BAC TO START ADDRESS OF FIRST COVARIANCE MATRIX INITIALIZE DAC TO START ADDRESS OF FIRST EXTRACTED MATRIX
0207
      C
0208
      С
             INITIALIZE BAQ TO START ADDRESS OF FIRST Q-VECTOR(COLUMN)
0209
```

```
0210 C
0211
            LST=NH-1
0212
             RNK=4. O*RNH*RNH
0213
             SNK=4. O*(LST*LST)
0214
             BAC=BACV
0215
            DAC=RNS
0216
             BAG=BACV+4. O*RNH*(RNH-1. O)
0217
     С
0218 C
             COMPUTE ADDRESSES OF FOLLOWING VECTORS
            AR=ADDRESS OF FIRST COLUMN OF COVARIANCE MATRIX
AQ=ADDRESS OF Q COLUMN OF COVARIANCE MATRIX
0219
     C
0220 C
                                 COLUMN OF COVARIANCE MATRIX
0221
     С
             AC=ADDRESS OF FIRST COLUMN OF EXTRACTED MATRIX
0222
     C
0223
             AR=BAC
0224
             AQ=BAQ
0225
             AC=DAC
            WRITE(6,330) BAC, BAQ, DAC
0226
     D
            FORMAT(1H , 3F10. 1)
0227 D330
0228 C
0229
             INIT=1
0230
            KK=1
0231
             K=1
0232
     C
0233
             CONFIGURE STEERING VECTORS AS A SINGLE COMPLEX BUFFER
0234
      С
0235
             CALL MPCLB(IBUS2+7, BASV, O. 5*BSSV, 1, 1, 0)
0236
             CONFIGURE EACH ROW OF COVARIANCE MATRIX ON BUS 3( R OR, INV(R))
0237
             AS A COMPLEX VECTOR USING BUFFER NUMBERS FROM 32 ONWARDS
0238
     C
0239
0240
            DO 426 J=1, LST
0241
             CALL MPCLB(IBUS3+31+J, AC+4*(J-1), RNH-1. 0, 1, LST, 0)
0242
      426
            CONTINUE
             CALL BFPRM(LOBF, NRCH, XF, IER)
0243
0244
             IF(IER . NE. O) STOP 1
0245
     C
0246
            PREPARE TO WRITE FIRST BLOCK ON MAG. TAPE
0247
     С
0248
      777
            IHEAD(1)=1
0249
             IB1(1)=NH
0250
             IB1(2)=NBMS
0251
             IB1(3)=NF
0252
            DO 78 J=1, NF
0253
            RFQ(J)=SF(J)
0254
      78
            CONTINUE
0255
            RFG(NF+1)=D
0256
            RFQ(NF+2)=1500.0
0257
0258
            SWITCH M. T. LU# FROM 7 TO 10 OR VICE VERSA
     C
0259
      C
0260
            LUMT=17-LUMT
            CALL EXEC(3, LUMT+400B)
0261
0262
            CALL EXEC(2, LUMT, IHEAD, 527)
0263
            IHEAD(1)=2
0264
0265 C
0266 C
            CLEAR THE COVARIANCE MATRIX BEFORE THE
0267
            NEXT CYCLE OF ACCUMULATION AND PROCESSING
     С
0268
     C
0269
      77
            CALL MPCLB(20, BACV, BSCV, 0, 1, 0)
0270
            CALL VCLR(20)
0271
0272
             TT=0. 0
0273
            DO 80 I=1, NA
0274
     C
            CALL EXEC(11, ITIME)
0275
            CALL MBCOV(7, INIT, XF, IEND)
      C
0276
      C
             IF(IEND . LT. 0) GOTO 99
            CALL MBC2(20, LOBF, NRCH)
0277
0278
      C
            CALL EXEC(11, ITIME(6))
0279
             IDIFF=ITIME(8)-ITIME(3)
0280
             IF(IDIFF .LT. 0) IDIFF=IDIFF+60
     С
            IDIFF=IDIFF*60+ITIME(7)-ITIME(2)
0281
     С
0282
     C
            RDIFF=IDIFF+(ITIME(6)-ITIME(1))*0.01
0283
     C
            TT=TT+RDIFF
```

```
CALL COVAR (NS, NH, K, NDXSF, NF, XF)
0284
0285
             K=O
             INIT=0
0286
      80
             CONTINUE
0287
             WRITE(6,81) TT
0288
      C81
             FORMAT(' M. T. READ TIME = ',F8.2)
0289
0290
      С
             CALL EXEC(11, ITIME)
0291
      C
0292
             CONFIGURE COVARIANCE MATRIX AS A REAL VECTOR THEN
      C
0293
      C
             NORMALIZE & CONJUGATE BY NEGATING THE ODD PART
0294
0295
      C
             CALL MPCLB(20, BACV, BSCV, 0, 1, 0)
0296
             CALL VSMA1 (20, 54, 20, 0)
0297
             CALL MPODD(8, 20)
0298
             CALL VNEG(B)
0299
0300
      C
0301
      C
             CONFIGURE FIRST COLUMN OF COVARIANCE MATRIX AS A REAL VECTOR
             SO COLUMNS CAN BE MOVED ONE BY ONE TO BUS 3 USING "VMOV"
0302
      C
0303
      C
             CALL MPCLB(IBUS2+8, BACV, 2. 0*RNH, 0, 1, 0)
0304
             CALL MPCLB(IBUS3+9, RNS, 2. 0*LST, 0, 1, 0)
0305
0306
             CREATE FUNCTION LIST TO EXTRACT A COLUMN OF A COVARIANCE MATRIX
0307
0308
0309
             IF(KK . EQ. 0) GOTO 85
0310
             CALL MPBFL(1)
0311
             CALL VMOV(9,8)
             CALL MPSBA(8, 2*NH)
0312
0313
             CALL MPSBA(9,2*LST)
             CALL MPEFL(1)
0314
0315
             CALL MPFOR(1,1,LST,1)
0316
      85
0317
             PRINT THE COVARIANCE MATRIX JUST TRANSFERRED
0318
      C
0319
      C
             IF(ISSW(0) .LT. 0) CALL CVMPR(31, LST, AC, SF(1))
0320
      C
0321
0322
      С
             CONFIGURE THE FOLLOWING BUFFERS
             21 = RESULT OF INV(R). Q(COMPLEX)
22 = RESULT OF R. B(COMPLEX) OR INV(R). B(COMPLEX)
0323
      С
0324
             23 = RESULTS MATRIX(REAL)
0325
      C
0326
       C
             BA=10. O*NBMS
0327
             STEP=4. 0*(RNH-1. 0)
0328
             CALL MPCLB(21, BA, RNH-1, 0, 1, 1, 0)
0329
0330
             CALL MPCS0(22, 58, NH-1, 1)
0331
             CALL MPCLM(23, 2. 0*NBMS, 4, NBMS)
0332
0333
             CONFIGURE LOGICAL BUFFER CONSISTING OF REAL PARTS
      C
0334
             OF THE DIAGONAL ELEMENTS(EXCEPT LAST ONE)
             OF THE COVARIANCE MATRIX
0335
      C
0336
              THEN COMPUTE AVERAGE SUM IN SCALAR 132
             AND TAKE RECIPROCAL OF THIS VALUE
0337
       C
0338
       C
             CALL MPCLB(20, BACV, RNH-1. 0, 0, 2*(NH+1), 0)
0339
0340
              CALL SSUM(132, 20, 51)
0341
             CALL SDIV(129, 1, 132)
0342
      C
0343
       C
              CONFIGURE FIRST STEERING VECTOR
0344
       C
0345
             CALL MPCLB (25, BASV, RNH-1, 0, 1, 1, 0)
0346
       C
0347
       D
             WRITE(6, 415)
0348
      D415
             FORMAT(1H1)
0349
              CALL MPCOL (26, 1, 23)
0350
       C
0351
              CONFIGURE Q-VECTOR (COLUMN)
0352
       C
0353
              CALL MPCLB(24, AQ, RNH-1, 0, 1, 1, 0)
0354
       C
0355
              IF(ITYPE . LE. 2) GOTO 425
0356
      C
```

```
MAP=MPCLA(IBUS3+31, AC, LST, LST, 1, 0)
0357
             CALL CMINV(31, 124, 2)
0358
0359
             CMINV GIVES -INV(R) SO CONFIGURE THE
0360
     С
             AREA AS A REAL BUFFER & MULTIPLY BY -1
0361
     C
      C
0362
             CALL MPCLB(31, AC, 2.0*(LST*LST), 0, 1, 0)
0363
             CALL VSMA1 (31, 3, 31, 0)
0364
     C
0365
0366
             COMPUTE INV(R). Q IN SCALAR TABLE
             AND SAVE RESULT IN BUFFER NO. 21
0367
      С
8950
0369
             DO 420 J=1.LST
0370
             CALL CSDOT (54+2*J, J+31, 52, 24)
             CONTINUE
0371
      420
0372
             CALL MPEQB(27,21)
0373
             CALL MPTSB (27, 56, LST)
0374
             CONFIGURE ROW VECTOR Q* AND
0375
      C
             COMPUTE Q*. INV(R). Q IN SCALARS 56-57
0376
      С
0377
      C
0378
             BA=AR+STEP
0379
             CALL MPCLB(27, BA, RNH-1, 0, 1, NH, 0)
0380
             CALL CSDOT(56, 27, 52, 21)
0381
     С
             EXTRACT POWER OF REFERENCE PHONE TO SCALAR 126
0382
     С
0383
      425
0384
             CONTINUE
             CALL MPEGB(27,24)
0385
             CALL MPSBA(27, LST)
0386
0387
             CALL MPTBS(27, 126, 1)
0388
      C
0389
      С
             COMPUTE Q-Q*. INV(R). Q IN GCALAR 127
0390
      C
             CALL SSUB(127, 126, 56)
0391
0392 C
             IF(KK . EQ. 0) GOTO 448
0393
0394
             KK=0
0395
      C
0396
             CREATE FUNCTION LIST TO PERFORM PROCESSING FOR ONE BEAM
      C
0397
      C
0398
             CALL MPBFL(2)
0377
      C
             IF(ITYPE . GE. 3) GOTO 435
0400
0401
             CONFIGURE FIRST ROW OF COVARIANCE MATRIX
0402
      С
             COMPUTE R. B IN SCALAR TABLE
0403
      C
0404
      C
0405
             DO 430 J=1, LST
0406
             CALL CSDOT(56+2*J, J+31, 52, 25)
             CONTINUE
0407
      430
0408
      C
             TAKE CONJUGATE OF STEERING VECTOR
0409
      C
0410
     C
0411
             CALL CVCNJ(25)
0412
      С
0413
      С
             COMPUTE B*. R. B IN SCALARS 120-121
             COMPUTE B*. @ IN SCALARS 56-57
0414
             THEN (SCLR(56)**2+SCLR(57)**2)/SCLR(126)
0415
      C
0416
             CALL CSDOT(120, 25, 52, 22)
0417
0418
             CALL CSDOT (56, 25, 52, 24)
0419
             CALL SMUL (56, 56, 56)
0420
             CALL SMUL (57, 57, 57)
             CALL SADD (56, 56, 57)
0421
             CALL SDIV(56, 56, 126)
0422
             CALL SSUB(121, 120, 56)
0423
             GOTO 445
0424
0425
      C
0426
      435
             CONTINUE
0427
      C
             COMPUTE INV(R). B IN SCALAR TABLE
0428 C
```

```
0429
            DO 440 J=1, LST
0430
            CALL CSDOT (56+2*J, J+31, 52, 25)
0431
     440
            CONTINUE
0432
0433
      C
            TAKE CONJUGATE OF STEERING VECTOR
0434
     C
0435
      C
0436
            CALL CUCNJ(25)
      C
0437
            COMPUTE B*. INV(R). B IN SCALARS 122-123
0438
     C
0439
     C
            COMPUT B*. INV(R), Q IN SCALARS 56-57
0440
     С
            THEN (SCLR(56)**2+SCLR(57)**2)/SCLR(127)
0441
            CALL CSDOT (122, 25, 52, 22)
0442
0443
            CALL CSDOT (56, 25, 52, 21)
0444
            CALL SMUL (56, 56, 56)
            CALL SMUL (57, 57, 57)
0445
0446
            CALL SADD (56, 56, 57)
            CALL SDIV(56, 56, 127)
0447
0448
            CALL SADD(123, 122, 56)
            CALL SDIV(122, 50, 122)
0449
0450
            CALL SDIV(123, 50, 123)
0451
0452 445
            CONTINUE
0453
     C
            OUR 4 RESULTS ARE NOW IN SCALARS 120-123
0454
      C
0455
            SO TRANSFER THEM TO NEXT COLUMN OF MATRIX
      C
0456
      C
0457
            CALL MPTSB (26, 120, 4)
0458
     C
            CALL MPSBA(25, LST)
0459
0460
            CALL MPEFL(2)
0461
      C
0462
      448
            CALL MPIBC(0)
            CALL MPFOR(1,1,NBMS,2)
0463
            CALL MPRBC(O)
0464
0465
0466
            AFTER EXECUTION OF FUNCTION LIST 2 FOR EACH BEAM
      C
0467
      C
            ALL THE STEERING VECTORS HAVE BEEN CONJUGATED
0468
     С
            SO RESTORE THEM READY FOR THE NEXT CYCLE
0469
      C
0470
            CALL CVCNJ(7)
0471
            PROCESSING FOR SELECTED FREQUENCY COMPLETE
0472
      С
            FOR SELECTED COLUMN OF RESULTS MATRIX
0473
      С
0474
      C
            MULTIPLY RESULTS BY 1.0/(AVERAGE POWER)
0475
            FIND MAXIMUM VALUE AND COMPUTE 1.0/MAX
            THEN MULTIPLY EACH ELEMENT BY THIS VALUE
0476
      С
0477
             CONVERT THESE VALUES TO DECIBELS.
0478
            TRANSFER TO HOST & PLOT
      C
0479
      C
            CALL MPROW(26, ITYPE, 23)
0480
0481
            CALL VSMA1 (26, 129, 26, 0)
0482
            CALL SMAX(131, 26, 127)
0483
            CALL MPRST(131, PMAX, 2, 1)
0484
            CALL SDIV(127, 1, 131)
0485
            CALL VSMA1 (26, 127, 26, 0)
0486
            CALL VLDQH(26,31,26)
            CALL MPRDB (26, POWR (4), 1, 1)
0487
0488
             J=3+NBMS
0489
            DO 449 I=4, J
            POWR(I)=RNORM(POWR(I))-5.0
0490
0491 449
            CONTINUE
             CALL EXEC(20, 0, POWR, ILEN, 0, 0, ICLS)
0492
0493
     C
            CALL EXEC(11, ITIME(6))
0494
             WRITE(6,446) (ITIME(J), J=10,1,-1)
            FORMAT(' TIME: ', 1016)
0495
      C446
0496
0497
             IF(IFBRK(0)) 90,77
0498
      90
            WRITE(1, 452)
0499
      452
            FORMAT(' EX = EXIT PROGRAM'/' ST = SWITCH TAPES')
0500
             READ(1,453) IANS
```

```
0501
      453
             FORMAT(A2)
             IF(IANS .EQ. IEX) GOTO 99
IF(IANS .NE. IST) GOTO 90
0502
0503
0504
0505
             WRITE EDF ON M. T. & REWIND
0506
0507
             CALL EXEC(3, LUMT+100B)
0508
             CALL EXEC(3, LUMT+400B)
0509
             GOTO 777
0510
      99
             CALL MPCLS(0)
0511
             CALL EXEC(3, LUMT+100B)
0512
             NPTS=-1
0513
             CALL EXEC(20, 0, POWR, 2, 0, 0, ICLS)
0514
             CALL EXEC(6)
0515
             END
0516
             END*
&CVM8I T=00004 IS ON CR00013 USING 00017 BLKS R=0000
0001 FTN4, L
             SUBROUTINE COVAR(NS, NH, K, ISF, NSF, XF)
0002
0003
             DIMENSION ISF(50)
0004
             COMMON LUMT, IHEAD(15), HRB(800)
0005
             DATA IBUS2/128/, IBUS3/192/
             IF(K . EQ. 0) QOTO 100
8000
0007
             RNS=NS
8000
             RNH=NH
             BS=RNS*RNH
0009
0010
             CVSIZ=2. O*RNH*RNH
0011
             ISIZ=2*NH*NSF
             BACV=81918. 0-2. 0*CVSIZ
0012
0013
             ACM=RNS+4. O*RNH*NSF
0014 C
0015 C
             SET SCALE FACTOR(XF) IN SCALAR 128
             RAW DATA IS MULTIPLIED BY XF BEFORE FFT
0016 C
0017
             CALL MPWST(128, XF, 1, 1)
0018
0019
0050 C
             CONFIGURE THE FOLLOWING PERMANENT BUFFERS
              1 = CONSTANT COMPLEX VECTOR (CURRENT VALUE OF SCALARS 56-57)
0021
      C
0055 C
              2 = DATA AREA AS A SINGLE REAL BUFFER
0023 C
             29 = DATA AREA AS A REAL MATRIX
             10 = SOURCE BUFFER(REAL) FOR FFT
11 = COSINE TABLE FOR FFT
0024
      С
0025
      C
             12 = COMPLEX BUFFER FOR RESULT OF FFT
0026
      C
0027
             30 = MATRIX OF SELECTED FREQUENCIES
      C
             28 = COVARIANCE MATRIX FOR SELECTED FREQUENCY
0028
0029
             16 = FIRST COLUMN OF COVARIANCE INCREMENT MATRIX
      C
0030
             17 = COVARIANCE INCREMENT(CONFIGURED AS REAL VECTOR)
0031
0032
             CALL MPDCV(1, 56, NH, 1)
             CALL MPCLB(IBUS2+2, 0, 0, BS, 0, 1, 0)
0033
0034
             CALL MPCLM(29, 0. 0, NH, NS)
             CALL MPCLB(IBUS3+10, RNS, RNS, 0, 1, 0)
0035
0036
             CALL MPCLB(IBUS3+11, O. O, O. 5*RNS, O, 1, O)
0037
             CALL MPCLB(IBUS3+12, RNS, 0. 5*RNS, 1, 1, 0)
0038
             CALL MPCLM(30, RNS, 2*NH, NSF)
0039
             CALL MPCLB(28, BACV, CVSIZ, 0, 1, 0)
0040
             CALL MPCLB(IBUS3+16, ACM, RNH, 1, 1, 0)
0041
             CALL MPCLB(IBUS3+17, ACM, CVSIZ, 0, 1, 0)
```

86

CREATE FUNCTION LIST 14 TO MOVE ONE ROW TO BUS 3, PERFORM FFT AND MOVE RESULT BACK TO ORIGINAL ROW

0042

0044

0045

0046

0047

0049

0050

0051

С

С

CALL MPBFL(14)

CALL VMOV(10,3)

CALL VMOV(3,10)

CALL MPEFL(14)

CALL MPNXR(3, 29)

CALL FFTR3(12, 10, 11)

```
0052
            CREATE FUNCTION LIST 15 TO MOVE
0053 C
            2 COLUMNS CORRESPONDING TO ONE FREQUENCY
0054 C
0055 C
0056
            CALL MPBFL(15)
            CALL MPEVN(15, 13)
0057
0058
            CALL MPODD(14,13)
            CALL VMOV(15,4)
0059
            CALL VMOV(14,5)
0060
0061
            CALL MPNXC(13,30)
            CALL MPEFL(15)
0062
0063 C
            CREATE FUNCTION LIST 13 TO SELECT & MOVE
0064
     C
            TWO COLUMNS OF FREQUENCY DATA
0065 C
0066
            CALL MPBFL(13)
0067
9068
            DO 25 I=1, NSF
            J=2*ISF(I)-1
0069
0070
            CALL MPCOL(4, J, 29)
            CALL MPCOL(5, J+1, 29)
0071
0072
            CALL MPXFL(15)
0073 25
            CONTINUE
0074
            CALL MPEFL(13)
0075
      C
            CREATE FUNCTION LIST 12 TO COMPUTE INCREMENT FOR
0076
      C
0077
     C
            ONE COLUMN OF A COVARIANCE MATRIX
0078
     С
            CALL MPBFL(12)
0079
            CALL MPTBS(19, 56, 1)
0080
0081
            CALL CCVML(15, 0, 1, 0, 18)
            CALL MPSBA(15, NH)
0082
0083
             CALL MPEFL(12)
0084
             CREATE FUNCTION LIST 11 TO CALCULATE THE
0085
     С
             INCREMENTS & UPDATE A COVARIANCE MATRIX
0086
      C
0087
      С
0088
            CALL MPBFL(11)
0089
      C
            MULTIPLY SELECTED COLUMN BY COMPLEX CONJUGATE OF EACH ELEMENT
0090
      С
             AND SAVE THE VECTOR SO FORMED IN BUFFER 15
0091
      C
0092
      C
0093
             CALL MPEGB(15,16)
0094
             CALL MPFOR(1,1,NH,12)
0095
             CALL VAD(28, 17)
0096 C
0097
             CALL MPEFL(11)
0098
0099
             CREATE FUNCTION LIST 9 TO PERFORM COMPLETE PROCESSING
      C
0100 C
0101
             CALL MPBFL(9)
0102
      Ç
0103
      C
             RE-DEFINE THE FOLLOWING BUFFER
0104
             18 = FIRST COLUMN OF FREQUENCY MATRIX
      C
0105
      C
0106
             CALL MPCLB(IBUS3+18, RNS, RNH, 1, 1, 0)
0107
0108 C
             CONVERT DATA TO REAL AND SCALE
0109
      C
             CALL VFLT(2, 39, 20, 0)
0110
0111
             CALL VSMA1(2, 128, 2, 0)
0112 C
0113
      C
             DEFINE FIRST ROW OF DATA
0114 C
             & PERFORM FFT ON EACH ROW
0115 C
             CALL MPROW(3,1,29)
0116
             CALL MPFOR(1, 1, NH, 14)
0117
0118 C
0119
             DEFINE FIRST COLUMN OF SELECTED FREQUENCIES
0120
      C
             AND EXTRACT THE SELECTED FREQUENCY DATA
0121
```

```
CALL MPCOL(13, 1, 30)
0122
0123
             CALL MPXFL(13)
0124 C
0125 C
             SELECT FIRST COVARIANCE MATRIX
0126 C
0127 C
             AND UPDATE EACH COVARIANCE MATRIX
0128
             CALL MPEGB (19, 18)
             CALL MPXFL(11)
0129
0130
             CALL MPEFL(9)
0131 C
0132 C
             REDEFINE DATA AREA AS AN INTEGER BUFFER
0133 C
0134
      100
             CALL MPCLB (IBUS2+20, 0, 0, BS, 2, 2, 0)
0135
             CALL MPXFL(9)
0136
             CALL MPCLB(31, RNS, 1. 0*ISIZ, 0, 1, 0)
             CALL MPRDB(31, HRB, 1, 1)
0137
             DO 150 J=1, ISIZ
HRB(J)=RNORM(HRB(J))
0138
0139
0140 150
             CONTINUE
             CALL EXEC(2, LUMT, IHEAD, 2*ISIZ+15)
0141
0142
             IHEAD(1)=IHEAD(1)+1
0143 C
0144
             RETURN
0145
             END
0146
             END$
```

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